

**MEMORANDUM**

**To:** Board of Regents  
**From:** Board Office  
**Subject:** Approval of the Board of Regents' Strategic Plan Modifications  
**Date:** January 7, 2002

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**Recommended  
Actions:**

1. Approve the report as presented in November 2001.
  2. Adopt a planning process for the Board of Regents' Strategic Plan for 2004-2008.
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**Executive  
Summary:**

- In 1998 the Board of Regents adopted a five-year strategic plan (1998-2003). Each year of the plan an annual report is prepared to review progress on the implementation of the plan.

The annual review of the Board's Strategic Plan in November 2001 emphasized two points:

- The Board and Regent institutions continue to enhance links between the institutional strategic plans and the Board's strategic plan during last year.
- All Action Steps in the Board's Strategic Plan are now being monitored. Many of the assessments are included in governance reports. These are found as pages 6-37 of G.D. 5f, November 2001.

The Board needs to adopt a plan to develop its next strategic plan. A list of tasks and tentative timeline is attached (page 4).

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**Strategic Plan:**

The Board has expressed interest in assuring that the Board and institutional plans are consistent. This is especially important as the three universities and ISD have developed new strategic plans and IBSSS will develop a plan next year.

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**Background:**

The four Key Result Areas of the Board's strategic plan are: Quality, Access, Diversity, and Accountability.

The Board of Regents' Strategic Plan, as modified in 1999, had:

- 4 Key Result Areas
- 9 Objectives
- 20 Strategies
- 83 Action Steps.

Since this is the fourth year of the Board's plan, the focus of the report this year is to provide brief descriptions of, and selective data on the monitoring progress of 87 Action Steps.

During summer 2001, the Board Office staff and institutional representative met and determined that all Action Steps have either been completed or have on-going assessment procedures in place.

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**Analysis:**

As a public education enterprise, the Board of Regents is strongly committed to fiscal and programmatic stewardship. One of the Board's five-year Strategic Plan Key Result Areas (KRAs) is accountability.

Under the KRA of accountability, and each of the other three KRAs, are Objectives, Strategies, and Action Steps. The Action Steps frequently refer to annual reports (called governance documents) that contain performance indicators developed by the Board and institutions. Each Action Step identifies who is responsible for involvement in the actions called for and includes a timeline for implementation.

In the fourth year of the Board's Strategic Plan, these points can be made:

- Those steps calling for actions to be completed have been accomplished.
- Those steps mandating reports now have an annual reporting process in place.
- Each year the Board has made slight refinements in its Strategic Plan (example: adding four new Action Steps last year).
- Because of internal and external factors, strategic plans must be flexible. The Board also recognizes that each of its institutions is unique and therefore each of their strategic plans is likely to need annual modification. In adopting any modifications, it is important that the institutions increase linkages with the Board's Strategic Plan. Internal, institutional planning efforts need to take into consideration the Board's Strategic Plan.

In the November 2001 report, Attachment B, pages 6-37, described the assessment procedures in place for each of the Action Steps. The descriptions in the far right column included relevant data from selective governance documents.

The rationale for adopting a schedule for developing the next strategic plan for the Board of Regents is that it must model what it expects of its institutions, careful and visionary planning in the future. In addition, the changing economic picture of the state and nation dictate a sustained environmental scan and a careful analysis of the assumptions regarding the mission, vision, values, and culture statements of the Board.

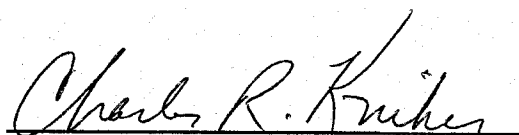
The tentative timeline that the Board Office proposes be adopted for the Board of Regents' Strategic Plan of 2004-2008 is included in Attachment A, page 4. Essentially, the plan calls for a planning retreat in January 2003. Final adoption of the plan would occur in May 2003, with the plan formally beginning in January 2004.

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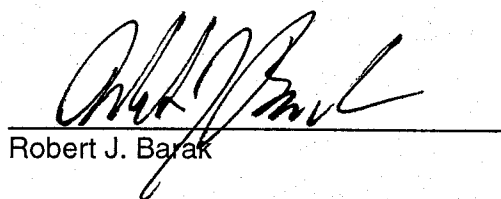
**Performance  
Indicator Charts:**

The three university memoranda contain tables describing the progress made on their specific indicators and selected Board performance indicators (G.D. 4a, G.D. 4b, and G.D. 4c). The strategic plan reports of the two special schools, G.D. 4d and G.D. 4e, contain descriptions of progress on the special schools' benchmarks and indicators. The data from these reports will be used to update the charts that are part of the Annual Report on Performance Indicators. See G.D. 5.

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Charles R. Kniker

Approved:

  
Robert J. Barak

**Attachment A**

**Tasks for the Next Version of the Board of Regents Strategic Plan  
(2004-2008)**

<b>Task(s)</b>	<b>Review /Planning Procedures</b>	<b>Persons/Institutions Responsible</b>	<b>Date Begun/Date Completed</b>	<b>Remarks</b>
Adopt Planning Schedule for new Strategic Plan		-- Board	January 2002	
Determine major internal factors	-- Receive input from constituent groups -- Seek analysis and recommendations	-- Board Office	Fall 2002	
Determine major external factors	-- Receive input from constituent groups	-- Board Office	Fall 2002	
1) Approve critical factors for success, including any new priorities 2) Review and revise goals, objectives, and action steps as needed 3) Revise and review purpose, mission, responsibilities, vision, values statements as needed.	-- Determine most important data areas	-- Board --Board Office -- Institutional heads	January 2003	Retreat
Receive Progress Report on 1998-2003 Strategic Plan		-- Board	November 2002	
Adopt plan		-- Board	May 2003	New strategic plan to begin January 2004