

**MEMORANDUM**

**To:** Board of Regents  
**From:** Board Office  
**Subject:** Year 2000 Final Report  
**Date:** April 10, 2000

**Recommended Actions:**

1. Receive the final report on Year 2000 Transition; and
2. Adopt a resolution (Attachment 1) commending and thanking members of the Board's Peer Review Team for their service.

**Executive Summary:**

The Board closely monitored, through 1998 and 1999, the progress of the Regent institutions in preparing for the Year 2000 transition. The institutions successfully made the transition and there were no reported incidents of failure of any of the mission critical systems monitored by the Regent institutions. In September 1998, the Board appointed a Peer Review Team to monitor and to review on its behalf the major aspects of the transition. The Board Office recommends that the Board adopt a resolution of commendation and thanks from the Board to the Peer Review Team (Attachment 1).

**Background:**

In the spring of 1998, the Board began a monthly process of monitoring the progress of Regent institutions in preparing for the millennium transition. The Board proceeded with this process, even though state funds were not provided for this purpose. The Board monitored hardware, systemware, and software for computer systems, mechanical systems, and medical instruments that may have been date sensitive. The State engaged the firm of CTA, Inc. to monitor the progress of other state agencies in their activities leading to the millennium transition and established an office in the Department of Management to oversee the process. Regent institutions were excluded from this process. The Legislative Oversight Committee (LOC) requested the Board to make regular reports to it of the progress of the Regent institutions in this activity. In the summer of 1999, the LOC requested the Regent institutions to undergo an audit of their work with CTA, Inc. Throughout this process, the Board considered the progress of the five Regent institutions, the University of Iowa Hospitals and Clinics, and the Board

Office as distinct entities. The CTA treated them in like fashion and found all but the University of Iowa Hospitals and Clinics needed some level of additional work. Notwithstanding this finding, the Regent institutions continued on the schedule they had established in 1998 for completing all mission critical work necessary for a smooth millennium transition.

State government developed contingent operations plans for Y2K. Regent institutions participated in development of these plans. As December 31, 1999, approached, all plans of the Regent institutions were in place and a Manager of Contingent Operations was identified by each institution. From December 31, 1999 through and including January 2, 2000, staff were on duty at each Regent institution prepared to execute the contingent plans, as necessary. The Board Office filed status reports with the state government command center on behalf of the institutions every four hours beginning at 12:45 a.m. on January 1, 2000. Early on January 2, 2000, the State determined that there were no failures of mission critical systems anywhere in state government and discontinued the reporting requirement except in the event of failures. Regent institutions reported no failures. The process of preparation for the millennium transition appears to have been successful for all Regent institutions.

In the summer of 1998, the Board appointed a Peer Review Team (Team) to enhance interinstitutional cooperation in preparing for the millennium transition. The Team was also charged to monitor the progress of the institutions in this preparation and to provide the Board and the LOC with monthly progress reports in the format used by state government with CTA. Team members included:

State University of Iowa: David Dobbins and Sue Nichols  
University of Iowa Hospitals and Clinics: James Wagner and Linda Baker  
Iowa State University: Rab Mukerjea (chair), Wayne Ostendorf and Dean Hutchison  
University of Northern Iowa: Garry Bozylinsky and Dennis Lindner  
Iowa School for the Deaf: Jerry Siders  
Iowa Braille and Sight Saving School: Larry Brennan and Dennis Thurman  
Board Office: Charles Wright

Members of the Team met regularly throughout the 18 months prior to the millennium transition on December 31, 1999 and made presentations to the Board, the Governor, and the LOC on the progress of the Regent institutions in preparing for the millennium transition. The proposed resolution (Attachment 1) recognizes the contribution of the Team to the success of the millennium transition.

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Approved: \_\_\_\_\_  
Charles Wright Frank J. Stork

## RESOLUTION

**WHEREAS** the Board of Regents, State of Iowa, in June of 1998 undertook a process to review and to monitor the progress of all Regent institutions in the work of preparing data processing systems (hardware, systemware and software), mechanical systems, infrastructure, and medical instruments to ensure a smooth transition into the Third Millennium; and

**WHEREAS** the Board of Regents, State of Iowa was excluded from the process adopted by State government and excluded from special funding provided by the State to other State agencies for the purpose of ensuring readiness for the millennium transition; and

**WHEREAS** the Board of Regents, State of Iowa sought the expertise of institutional staff to assist it in managing the oversight of the process of ensuring readiness for the millennium transition including the adoption of contingent operating plans; and

**WHEREAS** the Board of Regents, State of Iowa adopted the principle of the peer review process to provide the expertise it needed to review and to monitor progress toward completion of all work plans to ensure a smooth millennium transition; and

**WHEREAS** the Board of Regents, State of Iowa appointed a Peer Review Team composed of Rab Mukerjea, chair, and representatives from each of the Regent institutions and major administrative areas composed of David Dobbins and Sue Nichols from the State University of Iowa; James Wagner and Linda Baker from the University of Iowa Hospitals and Clinics; Wayne Ostendorf and Dean Hutchison from Iowa State University; Garry Bozylinsky and Dennis Lindner from the University of Northern Iowa; Jerry Siders from Iowa School for the Deaf; Larry Brennan and Dennis Thurman from Iowa Braille and Sight Saving School; and Charles Wright from the Board Office; and

**WHEREAS** the members of the Peer Review Team completed their responsibilities in an exemplary manner and the Regent institutions made the millennium transition with no failure of a mission critical system; then

**THEREFORE, BE IT RESOLVED THAT** the Board of Regents, State of Iowa does hereby commend the members of the Peer Review Team and thank them for their efforts on behalf of the Board of Regents and the Regent institutions for their role in ensuring a successful transition from the Second to the Third Millennium for the data processing systems, mechanical systems, infrastructure, and medical instruments at Regent institutions.

Adopted this 20<sup>th</sup> day of April in the Year 2000.

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Owen J. Newlin, President

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Frank J. Stork, Executive Director