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Sent: Thursday, July 30, 2009 1:46 PM
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Subject: Annual Report on Gifts Grants and Contracts

<<bor073009.pdf>>

The attached is being sent on behalf of Executive Director Donley.

Laura Dickson
Board of Regents, State of Iowa

**Governing Iowa's public
universities and special schools**

University of Iowa
Iowa State University
University of Northern Iowa
Iowa School for the Deaf
Iowa Braille and Sight Saving School
Lakeside Lab Regents Resource Center
Quad-Cities Graduate Center
Southwest Iowa Regents Resource Center
Tri-State Graduate Center



**BOARD OF
REGENTS**
STATE OF IOWA

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MEMORANDUM

TO: Board of Regents

FROM: Bob Donley 

SUBJECT: Report on Gifts, Grants, and Contracts to Regent Institutions

DATE: July 30, 2009

The enclosed table summarizes gifts, grants, and contracts received by the institutions during FY 2009. The awards received by the Regent institutions during this period total over \$775.4 million, an increase of \$68.5 million from \$706.9 million received in FY 2008.

Receipts from gift, grant, and contract funding for FY 2009 were record highs at the University of Iowa and Iowa State University. Enclosed are news releases from the Regent universities. Fluctuations in sponsored funding from year to year result primarily from the timing and receipt of annual awards as well as one-time funding of awards.

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Enclosures

Board of Regents, State of Iowa
Summary of Gifts, Grants, and Contracts for FY 2009

SOURCE	University of Iowa	Iowa State University	University of Northern Iowa	Iowa School for the Deaf	Iowa Braille & Sight Saving School	Total
<u>Federal</u>						
Dept. of Agriculture	\$ 450,927	\$ 35,666,132	\$ 379,215	\$ 43,534	-	\$ 36,539,808
Dept. of Commerce	88,545	2,869,301	70,531	-	-	3,028,377
Dept. of Defense	9,064,767	7,321,064	4,263,879	-	-	20,649,710
Dept. of Education	18,634,048	20,867,672	12,825,283	139,997	-	52,467,000
Dept. of Energy	2,504,132	28,091,177	-	-	-	30,595,309
Dept. of Health and Human Services	216,440,687	16,594,149	249,824	-	-	233,284,660
Dept. of Interior	80,000	333,635	26,788	-	-	440,423
Environmental Protection Agency	690,858	854,432	-	-	-	1,545,290
NASA	9,255,720	1,369,318	584,944	-	-	11,209,982
Natl. Science Foundation	12,577,454	28,171,325	1,460,995	-	-	42,209,774
Other	11,046,589	9,840,734	6,094,189	-	-	26,981,512
Total Federal	<u>\$280,833,727</u>	<u>\$151,978,939</u>	<u>\$25,955,648</u>	<u>\$183,531</u>	<u>-</u>	<u>\$458,951,845</u>
<u>Non-Federal</u>						
State and Local Government*	48,071,971	33,034,881	9,466,811	-	703,591	91,277,254
Business and Corporations	38,651,813	39,365,249	-	-	243	78,017,305
Foundations and Associations	40,282,583	62,558,955	4,331,562	12,594	3,767	107,189,461
Individuals	50,030	160,000	-	-	21,169	231,199
Other	21,634,960	18,131,181	-	-	-	39,766,141
Total Non-Federal	<u>\$ 148,691,357</u>	<u>\$ 153,250,266</u>	<u>\$ 13,798,373</u>	<u>\$ 12,594</u>	<u>\$ 728,770</u>	<u>\$316,481,360</u>
Grand Total	<u>\$ 429,525,084</u>	<u>\$ 305,229,205</u>	<u>\$ 39,754,021</u>	<u>\$ 196,125</u>	<u>\$ 728,770</u>	<u>\$775,433,205</u>
<u>PURPOSE</u>						
Academic Support/Misc.	\$ 53,982,132	\$ 41,452,168	\$ 5,458,087	\$ 183,531	\$ 728,770	\$101,804,688
Building Funds/Plant Operations	-	18,468,671	6,018,776	-	-	24,487,447
Equipment	9,763,257	1,779,737	-	-	-	11,542,994
Fellowship and Training Grants	35,239,838	25,054,231	-	-	-	60,294,069
Public Service	6,403,666	30,859,248	15,189,148	12,594	-	52,464,656
Research	312,393,675	169,960,979	2,061,288	-	-	484,415,942
Student Financial Aid	11,742,516	17,654,171	11,026,722	-	-	40,423,409
Grand Total 2008-09	<u>\$ 429,525,084</u>	<u>\$ 305,229,205</u>	<u>\$ 39,754,021</u>	<u>\$ 196,125</u>	<u>\$ 728,770</u>	<u>\$775,433,205</u>
Grand Total 2007-08	\$ 386,239,549	\$ 274,137,234	\$ 45,767,243	\$ 198,807	\$ 583,613	\$706,926,446
Grand Total 2006-07	\$ 382,192,016	\$ 271,953,957	\$ 37,101,835	\$ 181,268	\$ 668,747	\$692,097,823
Grand Total 2005-06	\$ 366,355,637	\$ 279,723,639	\$ 27,003,729	\$ 242,718	\$ 519,068	\$673,844,791
Grand Total 2004-05	\$ 359,598,876	\$ 286,930,895	\$ 33,071,632	\$ 215,280	\$ 630,778	\$680,447,461
Grand Total 2003-04	\$ 333,932,472	\$ 274,217,384	\$ 32,583,354	\$ 212,421	\$ 559,787	\$641,505,418
Grand Total 2002-03	\$ 352,782,578	\$ 230,386,288	\$ 23,851,768	\$ 213,636	\$ 917,136	\$608,151,406
Grand Total 2001-02	\$ 340,998,616	\$ 225,375,290	\$ 31,801,521	\$ 204,705	\$ 541,418	\$598,921,550
Grand Total 2000-01	\$ 277,949,292	\$ 217,676,274	\$ 26,403,019	\$ 187,502	\$ 464,991	\$522,681,078
Grand Total 1999-00	\$ 252,574,841	\$ 211,175,671	\$ 25,405,962	\$ 182,236	\$ 585,239	\$489,923,949
Grand Total 1998-99	\$ 259,514,262	\$ 199,160,579	\$ 17,102,062	\$ 146,936	\$ 443,405	\$476,367,244
Inc./Dec. FY 2009 over FY 2008	\$ 43,285,535	\$ 31,091,971	\$ (6,013,222)	\$ (2,682)	\$ 145,157	\$ 68,506,759
Inc./Dec. FY 2009 over FY 2008	11.21%	11.34%	-13.14%	-1.35%	24.87%	9.69%

* May include federal funds passed through other governments.

University of Iowa News Release

July 29, 2009

UI external support increases 10.3 percent to record \$429.5 million

Despite the historic flood, which displaced and interrupted many research programs last summer, University of Iowa faculty generated impressive growth in extramural support in fiscal year 2009. Total external funding achieved yet another all-time record of \$429.5 million, which represents an impressive 10.3 percent increase over 2008 and continues a trend that has seen consistent increases over the past 23 years.

[[Click here](#) to listen to an audio recording of the news conference where external support details were announced this morning.]



"This remarkable accomplishment by our faculty is even more impressive considering most of these grants were obtained under the relatively flat federal research budgets prior to the recent ARRA (American Recovery and Reinvestment Act) stimulus and potential increases associated with the fiscal year 2010 presidential budget proposals," said Jordan Cohen, interim vice president for research and economic development. "This seventh successive record year again demonstrates our commitment to discovery and applied research that produces important new knowledge while helping address many important issues facing Iowans and society in general."

The total for fiscal 2009, the 12-month period ending June 30, 2009, exceeded one-third of a billion dollars for the eighteenth consecutive year. Since 1967, when overall records were first kept, the university has attracted \$6.7 billion in total external support. This outstanding productivity continues to place the University of Iowa among the nation's elite public research universities and is especially significant in the face of challenging economic conditions in Iowa and the serious impact of the flood of 2008.

According to the most recent National Science Foundation survey (2007), the UI is ranked 20th among public universities in terms of federally financed expenditures for research and development. In the National Institutes of Health (NIH) 2005 report, the UI ranks 13th in NIH awards among all public universities.

The major up-tick in funding includes more than \$5 million in ARRA funding. "These funds represent the very early stages of ARRA funding. With a large number of grant applications for federal stimulus monies still pending, we anticipate continued success as funding announcements are made in FY 2010," Cohen said.

Derek H. Willard, special assistant to the president for governmental relations and associate vice president for research, noted that both fiscal year 2009 Omnibus appropriations and the ARRA provided a boost to scientific research. "There is a renewed commitment in Washington to the idea that investments in science and technology positively impact the American economy and the health and well-being of Americans. The response from researchers across the country to federal stimulus

funding has been overwhelming. We credit the leadership of the Iowa delegation not only for its support of federally funded research, but also its steadfast support of critical disaster recovery funding over this past year," he said.

UI President Sally Mason commented, "This outstanding increase in grant productivity once again demonstrates our faculty and staff's passion for the pursuit of knowledge and the swift progress of discovery. They have eagerly watched the federal government's recent movement toward greater investment in science and technology and have enthusiastically responded to new opportunities for extramural funding."

Especially significant were the increases seen from all major funding agencies relative to fiscal year 2008. These included:

--Department of Health & Human Services (includes NIH): \$216.4 million (up 5.5 percent)

--National Science Foundation: \$12.6 million (up 38.4 percent)

--NASA: \$9.3 million (up 5.8 percent)

--Department of Education: \$18.6 million (up 35.9 percent)

--Department of Defense: \$9.1 million (up 14.0 percent)

--States: \$48.1 million (up 51.2 percent)

--Industry: \$38.7 million (up 5.4 percent)

--Private Organizations: \$40.3 million (up 17.6 percent)

Twila Reighley, director of the UI Division of Sponsored Programs, noted that grant applications increased by 33.4 percent in the past fiscal year and added, "Talented faculty and staff across campus, as well as our dedicated Sponsored Programs staff, responded impressively to an unprecedented rapidly evolving research environment this past year."

STORY SOURCE: University of Iowa News Services, 300 Plaza Centre One, Suite 371, Iowa City, Iowa 52242-2500

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07-16-09

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Iowa State's external funding hits record \$305.2 million in fiscal year 2009

AMES, Iowa - Iowa State University attracted \$305.2 million in grants, contracts, gifts and cooperative agreements during fiscal year 2009, an increase of \$31.1 million over the previous year and a record high. This funding is in addition to the fiscal year 2009 state appropriation of \$284 million for the university's day-to-day operations.

Iowa State's previous record for external funding was \$287 million in fiscal year 2005.

"This record in external support reflects the great work our faculty, staff, and students are doing at Iowa State University," said [Iowa State President](#) Gregory Geoffroy. "It is especially impressive that in these very challenging economic times so many government agencies, businesses, foundations and others are choosing to invest their funds in our programs. This record amount also illustrates how effectively our researchers are competing for the funds to advance their projects, all of which helps Iowa State accomplish our mission of creating, sharing and applying knowledge to make Iowa and the world a better place."

The external funding supports research across campus as well as educational projects, student financial aid, buildings, equipment and extension activities. It comes directly to Iowa State from federal, state and local government sources as well as from corporations, foundations and other universities.

Most of last fiscal year's \$31.1 million jump in external funding can be attributed to a correction in how the university counts funds that flow through the Iowa State University Foundation from external foundations, associations, businesses and other contributors. In addition, prior to this past fiscal year, funding figures from the ISU Foundation were based on expenditures rather than awards received.

Iowa State's biggest single source of external funding is the U.S. Department of Agriculture. Iowa State attracted \$35.7 million from USDA last fiscal year. The second highest single source was the National Science Foundation at \$28.2 million, a record high from that agency. Of the \$305.2 million in total funding, \$170 million was directed to research projects based on proposals submitted by ISU faculty and scientific staff.

"The future of the university depends on our capability of building programs with a competitive edge that will be successful in obtaining extramural funding," said Sharron Quisenberry, Iowa State's [vice president for research and economic development](#). "These research programs will allow us to better serve the state of Iowa."

Projects

Iowa State research projects supported by external funding during the past fiscal year included:

- \$3.25 million from the National Science Foundation to support establishment of an [NSF Engineering Research Center for Biorenewable Chemicals](#) based at Iowa State
- \$2.75 million from the National Academy of Sciences for geotechnical research to facilitate rapid highway renewal
- \$2.55 million from [ConocoPhillips](#) for biofuels research
- \$2.49 million from the National Institutes of Health for the Partnerships in Prevention Science Institute and its programs to prevent youth substance abuse and other problem behaviors
- \$2.37 million from the Iowa Office of Energy Independence to study and develop a [clean gasification system](#) for renewable power, energy and ethanol from biomass
- \$1.98 million from the National Science Foundation to study [national energy and transportation infrastructures](#)
- \$1.69 million from the Iowa Office of Energy Independence to develop [efficient, low-cost solar energy](#)

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IOWA STATE UNIVERSITY
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