

**Board of Regents
State of Iowa**

Request for Qualification

**For
CONSULTING SERVICES FOR A SEARCH TO IDENTIFY
CANDIDATES FOR THE NEW PRESIDENT OF THE
UNIVERSITY OF IOWA**

**Board of Regents
State of Iowa
11260 Aurora Avenue
Urbandale, IA 50322**

Request for Qualification
for
Consulting Services for a Search to Identify Candidates
for the new President of the University of Iowa
for
The Board of Regents, State of Iowa

1. GENERAL

The Board of Regents, State of Iowa (Board) located in Urbandale, Iowa, intends to retain the services of a qualified consulting Firm with a national perspective to conduct a search to identify candidates for the next President for the University of Iowa in a timely, accurate and professional manner.

The required documentation of expertise and qualifications outlined in this request is intended to serve primarily as a general guide for each statement of qualifications, with the minimum requirements listed. Each Firm is expected to submit a fully detailed statement of qualifications, which adequately describes the advantages and benefits the Board would realize by selecting the Firm.

Representatives of the Board, the Board Office and/or other appropriate personnel will screen written proposals. A limited number of Firms may be selected to make oral presentations to a representative group of Regent and other appropriate personnel. Based upon the initial submittals and oral presentations, a single Firm will be selected to provide these services.

Interested Firms are invited to submit qualifications for the Firm and the Firm's representatives designated as key personnel that would be assigned to the Regents if selected as the successful Firm, as described in the attached Request for Qualifications.

Firms invited to participate in an interview should be prepared to discuss fully and substantiate any aspects of the proposal.

The Board will select the successful Firm based on experience, reputation, price and ability as well as responses to the questions listed in section 5. Firm's submission of a proposal constitutes acceptance of the evaluation technique and the Firm's recognition and acceptance that subjective judgments will be used during the evaluation process.

Tentative Schedule of Events

<u>Date</u>	<u>Event</u>
October 5, 2020	RFQ Issued
October 16, 2020	Firm's Questions Due
October 21, 2020	Responses to Firm's Questions Issued
October 26, 2020	RFQ Responses Due
October 30, 2020	Short List of Firms Identified
November 4-6, 2020	Interview Process of Final Firms
November 13, 2020	Award of Contract

2. BACKGROUND

The Board of Regents, State of Iowa governs the three public universities in Iowa – the University of Iowa, Iowa State University, and the University of Northern Iowa -- as well as the Iowa School

for the Deaf and Iowa Educational Services for the Blind and Visually Impaired. The Board comprises nine members who are appointed by the Governor on a bipartisan basis and confirmed by the Iowa Senate. The members serve six-year staggered terms. The President of the Board is elected by the members of the Board. An integral part of the Board's statutory responsibilities is engaging capable presidents and superintendents to lead the institutions it governs.

The University of Iowa (State University of Iowa), in Iowa City, Iowa, was established as the State's first public institution of higher education in 1847 by the First General Assembly of the State of Iowa.

With over 30,000 students, the University of Iowa is one of the nation's top public research universities, a member of the Big Ten conference since 1899, and an Association of American Universities member since 1909.

Sitting on over 1,700 acres, the University of Iowa's main campus is located in the casual yet cosmopolitan environment of Iowa City. With more than 500 major buildings, the University of Iowa and Iowa City provide a uniquely integrated campus and city that is consistently ranked among the best college towns in America.

Iowa is known around the world for its balanced commitment to the arts, sciences and humanities. It is home to one of the nation's largest academic medical centers and hundreds of options for affordable, accessible education.

The University was the first public university in the nation to admit men and women on an equal basis and the first to accept creative work in theater, writing, music and art as theses for advanced degrees. UI is internationally known for, among other things, its pioneering Iowa Writers' Workshop and its outer space research, with university-designed research instruments used in major missions since the 1950's.

As of summer 2020, the University employed 25,287 faculty, staff, clinical and temporary employees, and had an enrollment of 30,448 full time students for the 2020 fall semester. The University also enjoys a strong balance sheet with strong credit ratings by Moody's (Aa1) and Standard & Poor's (AA), as well as an estimated FY 21 budget of \$4.27 billion.

A major area of the University is its Health Center, consisting of the University Iowa Hospitals and Clinics (UIHC), as well as the Carver College of Medicine, and Colleges of Dentistry, Nursing, Pharmacy, and Public Health. UIHC is an 811-bed hospital that annually admits approximately 37,000 patients for in-patient hospital care, with an additional 58,000 emergency department visits. UIHC also represents more than 200 outpatient clinics and care areas, receiving more than one million clinic visits at the main campus and community and outreach clinics.

The UI continues to make improvements to its infrastructure. The University of Iowa Hospitals and Clinics opened the new UI Stead Family Children's Hospital in 2017. This nearly \$400 million state-of-the-art facility has expanded and improved upon the outstanding reputation of UIHC by building this stand-alone facility for children and their families. It is the state of Iowa's only comprehensive children's hospital, and last year it provided care for more than 80,000 children from all 99 counties in the state of Iowa, as well as 45 states and 20 other countries. Other key campus facilities that have been built in recent years include the \$96.3 College of Pharmacy Building (2020), the \$33.5 million Psychological and Brain Sciences Building (2020), and two new residence halls, Catlett Hall (2017) and Petersen Hall (2015).

Over the past decade, the university has renovated and rebuilt numerous buildings that were damaged in the historic flood of 2008, including replacements for the Studio Art Building, Voxman Music Building, and Hancher Auditorium. The final structure to be replaced, the \$50 million,

63,000 square foot Stanley Museum of Art, is currently under construction with an estimated completion date of 2022. When open, UI's full collection can return to be displayed on campus for the first time in more than a decade.

In 2019, the University entered into a historic \$1.165 public-private partnership (P3) with the UI's utility system and ENGIE North America and Meridiam. Under the agreement, ENGIE and Meridiam paid \$1.165 billion to UI for a 50-year operating agreement for UI's utility system. The vast majority of the money has been placed into an endowment, with annual proceeds going towards funding necessary for UI to carry out its strategic plan.

The University is organized into 12 academic colleges: The Henry B. Tippie College of Business, the College of Dentistry, the College of Education, the College of Engineering, the Graduate College, the College of Law, the College of Liberal Arts and Sciences, the Roy J. and Lucille A. Carver College of Medicine, the College of Nursing, the College of Pharmacy, the College of Public Health, and the University College.

3. OBJECTIVE

The objective in requesting proposals is to acquire the services of a reputable and proven consultant with a demonstrated ability to provide services in an efficient and professional manner, while complying with State and Federal laws and regulations and Board Policies.

4. SCOPE OF SERVICES

The successful Firm will be expected to fulfill the following scope of services in identifying candidates for the next President for the University of Iowa including, but not limited to:

1. To assist and advise the Board of Regents, State of Iowa, in its selection of the President of the University of Iowa.
2. To assist the University Presidential Search and Screen Advisory Committee (Committee) in screening and searching for appropriate prospects.
3. To assist the Committee in conducting a broad advertising campaign, including, but not limited to, the major educational media, affirmative action sources and major state and national media.
4. To ensure that affirmative action/equal opportunity requirements are met in spirit and in word of the law.
5. To receive nominations and applications for the President of the University of Iowa.
6. To provide timely, professional acknowledgments of nominations and other correspondence to prospects.
7. To ensure that files of all qualified prospects are complete. Files should include evidence supporting prospects' claims of meeting the criteria of the Board of Regents. In all cases, a certified, official copy of the transcripts of all postsecondary education institutions, from which the candidates claim to have graduated, are to be a part of the files.

8. To conduct a thorough background search on all final prospects and initial searches on initial prospects.
9. To assist the Committee in the evaluation of the nominations by submitting a list to the Committee of prospects who meet the Board's criteria.
10. To assist the Committee in recommending a final group of three to five prospects, who best meet the criteria established by the Board of Regents, and to conduct an extensive background search of the recommended prospects, including, but not limited to, criminal, civil, credit, and motor vehicle checks; reference checks; and authentication of all academic credentials and experiences of the prospects.
11. To certify the willingness of the finalists to serve.

5. MINIMUM QUALIFICATIONS AND SUBMITTALS

Any Firm submitting a response must meet the following requirements to be considered.

1. It must subscribe to the highest standards of integrity and principles of quality, diversity, equity, and ethical practice.
2. It must be committed to conducting equitable searches to foster diversity and the highest quality of leadership for the higher education community.
3. It must provide adequate information in order for candidates to have a complete understanding of the University of Iowa, the duties and responsibilities of the President, and the requirements for the position as determined by the Board of Regents.
4. It must be knowledgeable of the laws, especially in the State of Iowa, relating to the confidentiality of candidates. In particular, Firms must be knowledgeable of the requirements of Iowa Code Chapters 21 and 22, the Iowa Open Meetings and Open Records Acts.

Each Firm must provide written responses to each of the following submittals. Responses must be in the order shown below and numbered accordingly. Failure to respond to any question or follow the instructions herein may result in disqualification.

5.1 SUBMITTALS

Firms must clearly demonstrate and provide documentation substantiating that they are compliant in each of the following areas. The Board reserves, solely, the right to reject any submittals if the evidence or references submitted by such Firm fails to satisfy the Board that said Firm is properly qualified in any of these areas. It shall not be the responsibility of the Board to request additional information to satisfy these requirements, if such information is not provided with the submitted response.

- 5.1.1. Executive Summary.** Provide a one-page executive summary briefly summarizing the consultant's process to be used in conducting a search to identify candidates for the next President for the University of Iowa. The summary should clearly indicate any major requirements that cannot be met by the Firm and highlight the major features of the

proposal to assist the reader in determining generally how the qualifications of the Firm and the proposal meets and exceeds the requirements proposed by the Board.

5.1.2. A complete listing of colleges and universities for which the Firm has provided search services.

5.1.3. The name of a single point managerial-level contact for the Board to coordinate all requirements and to be the point of contact for any problems/questions that may arise. This individual will meet periodically with Board personnel and the Committee, will research information and deliver special reports as needed or directed by the Board and/or the committee. Please indicate the individual consultant(s) who will be the key participants in delivering these services. You must include name(s), qualifications, and level of involvement.

5.1.4. Three (3) references must be included for these individual(s) detailing their experience in providing this type of service.

5.1.5. Schedule/Time Commitment – Include a schedule of events, including site visits, for the term from contract award date to completion date. Include a proposed sequence of events and timeline necessary to meet the requirements of this RFP. The proposed schedule should be consistent with those adopted in searches recently conducted by the Board.

5.1.6. Stakeholders Involvement – Include your approach to involving stakeholders throughout the search processes. Based on your experience, identify the individuals and groups that you believe should be informed of the process, and/or those that should provide input, and explain your rationale for including those groups in this process.

6. FEE STRUCTURE

Submit in detail, your Firm's service fee structure for these services including, but not limited to, reimbursable and non-reimbursable items.

7. RESPONDENT INSTRUCTIONS

No oral interpretation will be made to any Firm as to the meaning of the RFQ documents. Should a Firm find discrepancies in, omission from, or be in doubt as to the true meaning of any part of the RFQ documents, the Firm should submit a written request for an interpretation to Mark Braun at mark.braun@iowaregents.edu. All requests must include the RFQ Title on the face of the correspondence. Requests received less than 72 hours before bid closing may not be answered at the discretion of the Board office.

The Board reserves the right to amend this RFQ without altering the timing requirements indicated. Any changes or addenda to these documents will be communicated in writing to all Firms as quickly as possible.

This RFQ does not commit the Board to award a contract to any Firm, or to pay costs incurred by Firm in the preparation, submission, presentation or any other action connected with proposing or otherwise responding to this RFQ. The Board may accept other than the lowest pecuniary proposal, waive minor irregularities, and award a contract based only on the written proposal without any discussions with Firm.

Answers to Firm's questions that impact scope, timetable, etc., will be communicated in writing to all Firms as quickly as possible.

If the Board awards a contract to a proposing Firm, the Firm's responses to this RFQ may become part of the executed contract. Therefore, all questions must be answered in an honest and straightforward manner.

The successful Firm shall comply with all applicable state and federal laws. Documentation of such compliance shall be provided to the Board upon request.

PUBLIC RECORDS. As an agency of the State of Iowa, the Board is subject to the requirements of Iowa Code Chapter 22, the Iowa Open Records Act. Firms are encouraged to familiarize themselves with Chapter 22 before submitting a proposal. The Board will treat all information submitted by a Firm as public information following the conclusion of the selection process. A Firm shall conspicuously identify information provided in response to this RFQ which the Firm reasonably believes represents confidential information that may fall within one of the exceptions identified in Iowa Code section 22.7. The Board will make a reasonable effort to provide the Firm with written notice seven calendar days in advance of the release of information identified by the Firm as confidential. However, the Board may release all or a portion of the proposal immediately, without providing notice to the Firm, if the Board determines there is no reasonable basis for a claim of confidentiality.

SUBCONTRACTORS. The Firm is specifically advised that the use of subcontractor(s) to perform any portion of the services requested under this RFQ must receive advance written approval of the Board of Regents. The Firm shall be responsible for all acts of its approved subcontractors as well as the performance of subcontracted responsibilities. Firm shall be solely responsible for payment to all subcontractors or secondary suppliers that the firm may engage for the completion of any of the services required by a contract with the Board.

LAWS. Terms and provisions of this proposal and any agreement resulting from this proposal shall be construed in accordance with the laws of the State of Iowa, and any and all litigation or actions commenced in connection with this proposal or agreement resulting from this proposal shall be instituted in the appropriate courts of the State of Iowa.

COPYRIGHTS. By submitting a proposal, the Firm agrees that the Board may copy the proposal for purposes of facilitating the evaluation of the proposal or to respond to requests for public records. Firm consents to such copying by submitting a proposal and warrants that such copying will not violate the rights of any third party. The Board shall have the right to use ideas or adaptations of ideas that are presented in the proposals.

TAXES. The Board is exempt from Federal Excise Taxes, and no payment will be made for any taxes levied on Firm's employee's wages. The Board is exempt from State and Local Sales and Use Taxes on the services. Tax Exemption Certificates will be furnished upon request.

NONDISCRIMINATION. The Board does not discriminate in the contract award process on the basis of sex, age, race, religion, color, national origin, disability, or any other legally protected status.

8. FIRM'S QUESTIONS AND BOARD RESPONSES

Questions regarding this Request for Qualification must be sent by email on or before October 16, 2020 to the attention of: Mark Braun, mark.braun@iowaregents.edu

The Board will respond to submitted questions and issues, in the form of a written addendum, those responses to all Firms via email on or before October 21, 2020.

With all questions submitted, please indicate your complete company name, address, the name, phone number, fax number, and email address of the person(s) submitting questions regarding this RFQ.

9. SUBMITTAL OF REQUEST FOR QUALIFICATION MATERIALS

ATTACHMENT A – PROPOSAL CERTIFICATION FORM

Three copies of the requested materials and Attachment A should be mailed to the address below
and

By email attachment to the email address below

Mark Braun
Executive Director
Board of Regents, State of Iowa
11260 Aurora Avenue
Urbandale, IA 50322
(515) 281-6418 (phone)
(515) 281-6420 (fax)
mark.braun@iowaregents.edu

All materials must arrive no later than 4:30 p.m. October 26, 2020. Firms will be contacted if additional information is needed. All Firms expressing an interest in providing these services will be notified of the Board's selection when a decision is reached. The Board reserves the right, in its discretion, to accept for consideration proposals submitted after the submission deadline of October 26, 2020.

**ATTACHMENT A
PROPOSAL CERTIFICATION FORM**

The undersigned certifies that to the best of her/his knowledge: (check one)

There is no officer or employee of the Board of Regents who has, or whose relative has, a substantial interest in any contract award subsequent to this proposal.

The names of any and all public officers or employees of the University of Iowa or the Board of Regents who have, or whose relative has, a substantial interest in any contract award subsequent to this proposal are identified by name as a part of this submittal.

The undersigned further certifies that their firm (check one) **IS**, or, **IS NOT** currently debarred, suspended, or proposed for debarment by any federal or state entity. The undersigned agrees to notify the Board of Regents of any change in this status, should one occur, until such time as an award has been made under this procurement action.

In compliance with the Request for Qualification for "Consulting Services for a Search to Identify Candidates for the new President for the University of Iowa" after carefully reviewing all the terms, conditions and requirements contained therein, the undersigned agrees to furnish such goods/services in accordance with the specifications/scope of work.

(firm)

(phone number)

(address)

(fax number)

(by)

(Federal ID Number)

(title)