

BOARD OF REGENTS, STATE OF IOWA

REGULATORY PLAN

FISCAL YEAR 2020

Executive Order Number 9 requires each state agency to submit an annual Regulatory Plan detailing foreseeable rulemaking for the upcoming fiscal year.

The regulatory objectives of the Board of Regents, State of Iowa, include the following:

- Adoption of rules that demonstrate public accountability and effective stewardship
- Adoption of rules consistent with the Board's mission, vision, core values and culture as detailed in the Board Strategic Plan for 2016 - 2021
- Adoption of rules only after public comments are solicited and considered
- Adoption of rules that are clear and understandable to constituents

The administrative rules of the Board of Regents, State of Iowa, are reviewed regularly and generally are not amended unless a specific need arises either through Board action or legislation.

The rules are contained in 20 chapters of the Iowa Administrative Code Section 681 and are entitled as follows:

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| Chapter 1 | Admission Rules Common to the Three State Universities |
| Chapter 2 | Supplemental Specific Rules for Each Institution |
| Chapter 3 | Regents Human Resources Management – Merit System Rules |
| Chapter 4 | Traffic and Parking at Universities |
| Chapter 5 | State Hygienic Laboratory |
| Chapter 6 | reserved |
| Chapter 7 | Equal Opportunity, Affirmative Action, and Targeted Small Business |
| Chapter 8 | Purchasing |
| Chapter 9 | Policies, Practices and Procedures |
| Chapter 10 | Records Management |
| Chapter 11 | Board of Regents Organization and General Rules |
| Chapter 12 | University of Iowa Organization and General Rules |
| Chapter 13 | Iowa State University of Science and Technology Organization and General Rules |
| Chapter 14 | The University of Northern Iowa Organization and General Rules |
| Chapter 15 | Iowa Braille and Sight Saving School Organization and General Rules |
| Chapter 16 | Iowa School for the Deaf Organization and General Rules |
| Chapter 17 | Public Records and Fair Information Practices |
| Chapter 18 | Declaratory Orders |
| Chapter 19 | Procedures for Rule Making |
| Chapter 20 | Contested Cases |

AMENDMENTS TO SECTION 681 IN FY 2012 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
3	Brings reduction in force rule in compliance with the Iowa Code, clarifies procedures for permanent employees who have exhausted paid leave and request to return to work upon receiving a medical release; and brings the rule on use of sick leave by an employee to care for ill or injured family into compliance with rules of DAS and makes the rule similar to the AFSCME collective bargaining agreement.	7/13/2011 #9597B	10/19/2011 #9812B	11/23/2011
1, 2 and 9	Chapter 1—new fee at Iowa State University for nondegree students; Chapter 2 – revisions to admission requirements; and 9 – updating and clarifying rules on telecommunications (note – amendments to Chapter 2 were not adopted and rules on telecommunications are revised from those noticed.)	11/30/2011 #9869B	3/7/12 #0037C	4/11/2012
5, 12, 14, and 16	Chapter 5 – updates regarding the State Hygienic Laboratory; Chapter 12 – relative to the organization of the University of Iowa; Chapter 14 – relative to the University of Northern Iowa; and Chapter 16 – relative to the Iowa School for the Deaf	11/2/2011 #9823B	1/11/2012 #9962B	2/15/2012
4	Chapter 4 – parking at Iowa State University – added new definition and increased parking sanction for parking in a space reserved for disabled persons.	4/18/2012 #0099C	6/27/2012 #0185C	8/1/2012

AMENDMENTS TO SECTION 681 IN FY 2013 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
2	These amendments update and clarify various admission requirements for the state universities. The period for receiving public comment relative to these amendments closed on August 14, 2012. No comments were received. Representatives of the Board appeared before the Administrative Rules Review Committee on August 14, 2012 and were asked to further review the noticed rules and return to the ARRC at its next meeting. After further discussion by the representatives from the three universities, it was determined to not continue with the filing. Further interinstitutional review will begin, and amendments to Chapter 2 will be noticed at a later time.	July 25, 2012 #0220C		
1	Increase application fees, establishment of new application fees and renaming of existing fees at the three universities	November 28, 2012 #0469C	March 6, 2013 #0630C	April 10, 2013

AMENDMENTS TO SECTION 681 IN FY 2014 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
13	Amendments to Chapter 13 include updating locations, contact information, adding website addresses for accessing various forms	July 10, 2013 #0818C	October 2, 2013 #1078	November 6, 2013
14	Updates parking sanctions at Iowa State University	April 16, 2014	September 3, 2014 #1599C	October 8, 2014

AMENDMENTS TO SECTION 681 IN FY 2015 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
1	Increase application fee at UNI	November 27, 2014 #1743C	March 4, 2015 #1895C	April 8, 2015
1	Classification of Veterans as residents	March 4, 2015 #1902C	May 13, 2015 #1991C	June 17, 2015
1	Alternative RAI formula	March 18, 2015 #1916C	July 8, 2015 #2051C	August 12, 2015
4	Increase parking Sanctions at ISU Filing denied by Rules Coordinator; increase was 50 cents			

AMENDMENTS TO SECTION 681 IN FY 2016 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
1	Classification of Veterans as residents	September 30, 2015 #2176C	December 23, 2015 #2332C (adopted and filed emergency after notice)	December 23, 2015

AMENDMENTS TO SECTION 681 IN FY 2017 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of Changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
13	Delegation of contracting authority at Iowa State University	May 25, 2016 ARC 2540C	August 17, 2016 ARC 2672C	September 21, 2016
1	Iowa dental advanced standing program fee at the University of Iowa	November 23, 2016 ARC 2818C	March 15, 2017 ARC 2982C	April 19, 2017

AMENDMENTS TO SECTION 681 IN FY 2018 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of Changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
3	Promotional and lead worker pay; grievance procedure	May 24, 2017 ARC 3071C	August 2, 2017 ARC 3229C	July 1, 2017
4	Traffic and parking at the universities	March 24, 2018 ARC 3670C	July 4, 2018 ARC 3877C	August 8, 2018
1	PharmD Application fee	May 9, 2018 ARC 3780C	August 29, 2018 ARC 3986C	October 3, 2018
1	Regent Admission Index	July 4, 2018 ARC 3867C	October 10, 2018 ARC 4079C	November 14, 2018

AMENDMENTS TO SECTION 681 IN FY 2020 INCLUDE THE FOLLOWING:

Chapter(s)	Summary of changes	IAB Issue of Notice and ARC #	IAB Issue of Adopted and ARC #	Effective Date
3	Account for changes in Iowa Code Chapter 20, updated verbiage and definitions to reflect current terminology commonly used in HR, updated references to state and federal laws, BoR and institutional polices, reduced the number of eligibility lists from three to two and allowed the resident director to determine the duration of the eligibility lists, removed language addressing layoffs and dismissal during an employee's probationary period, and extended the number of days for each step in the grievance process.	8/28/2019 #4630C	01/01/2020 #4850C	02/05/2020

Agency contact:

Aimee Claeys
 Board Counsel
 Board of Regents, State of Iowa
 11260 Aurora Avenue
 Urbandale, IA 50322
 aimee.claeys@iowaregents.edu
 515.281.3934 (phone)
 515.281.6421 (fax)

