

**COMMITTEE CALENDAR FOR 2015**

**Action Requested:** Receive the Audit/Compliance and Investment Committee Calendar for 2015.

**Executive Summary:** The Audit/Compliance and Investment Committee is provided with a calendar for the following year. Meeting dates and items are subject to change as necessary.

- ♦ The Board of Regents' Audit Policy may be found in Chapter 7.09 of the Policy Manual  
(<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.09.htm>)
- ♦ The Board of Regents' Investment Policy may be found in Chapter 7.04 of the Policy Manual  
(<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.04.htm>)

Meeting Date	Items
February 4-5, 2015 <i>University of Northern Iowa</i>	INTERNAL AUDIT <ul style="list-style-type: none"><li>♦ Internal Audit Reports</li></ul> EXTERNAL AUDIT <ul style="list-style-type: none"><li>♦ University of Iowa Hospitals and Clinics</li><li>♦ University of Iowa Health System and subsidiaries Audit Report</li></ul>
March 11, 2015 <i>University of Iowa</i>	INVESTMENT <ul style="list-style-type: none"><li>♦ Investment and Cash Management Report for the Quarter Ended December 31, 2014</li></ul>
April 22-23, 2015 <i>Iowa School for the Deaf</i>	INTERNAL AUDIT <ul style="list-style-type: none"><li>♦ Internal Audit Reports</li></ul> AUDITOR OF STATE <ul style="list-style-type: none"><li>♦ Comprehensive Annual Financial Report</li><li>♦ Single Audit Report</li><li>♦ University Financial Reports</li></ul> EXTERNAL AUDITS <ul style="list-style-type: none"><li>♦ Graduate Center Audits<ul style="list-style-type: none"><li>♦ Southwest Iowa Regents Resource Center</li><li>♦ Northwest Iowa Regents Resource Center</li><li>♦ Quad-Cities Graduate Center</li></ul></li><li>♦ Iowa Public Radio</li></ul>
June 3-4, 2015 <i>Iowa State University</i>	INVESTMENT <ul style="list-style-type: none"><li>♦ Investment and Cash Management Report for the Quarter Ended March 31, 2015</li><li>♦ Comprehensive Review of Money Market Mutual Funds (performed every three years)</li></ul>

<b>Meeting Date</b>	<b>Items</b>
August 5, 2015 <i>(telephonic meeting)</i>	
September 9, 2015 <i>University of Northern Iowa</i>	<p>AUDITOR OF STATE</p> <ul style="list-style-type: none"> <li>♦ FY 2015 Audit Plan</li> <li>♦ State Audit Reports</li> </ul> <p>INTERNAL AUDIT</p> <ul style="list-style-type: none"> <li>♦ FY 2015 Internal Audit Progress and FY 2016 Internal Audit Plans</li> <li>♦ Internal Audit Report</li> </ul> <p>INVESTMENT</p> <ul style="list-style-type: none"> <li>♦ Investment and Cash Management Report for the Quarter Ended June 30, 2015</li> <li>♦ Annual Review of Peer Group Comparisons</li> <li>♦ Annual Review of Brokerage Firms, Financial Institutions, and Money Market Mutual Funds</li> </ul>
October 21-22, 2015 <i>University of Iowa</i>	<p>INTERNAL AUDIT</p> <ul style="list-style-type: none"> <li>♦ Internal Audit Reports</li> </ul> <p>COMMITTEE CALENDAR FOR 2016</p>
December 2, 2015 <i>(telephonic meeting)</i>	<p>INVESTMENT</p> <ul style="list-style-type: none"> <li>♦ Investment and Cash Management Report for the Quarter Ended September 30, 2015</li> </ul>
As Needed	<p>AUDIT</p> <ul style="list-style-type: none"> <li>♦ Internal Audits</li> <li>♦ Auditor of State Special Audits / Investigations</li> <li>♦ External Audits</li> </ul> <p>COMPLIANCE</p> <ul style="list-style-type: none"> <li>♦ Selection of Investment Advisor (2006, 2012, next selection →2017)</li> </ul> <p>INVESTMENT</p> <ul style="list-style-type: none"> <li>♦ Fund Manager Issues</li> <li>♦ Modification of Brokerage Firms, Financial Institutions, and Money Market Mutual Funds</li> </ul>