

Contact: Andrea Anania

COMMITTEE CALENDAR FOR 2017

Action Requested: Receive the Audit/Compliance and Investment Committee Calendar for 2017.

Executive Summary: The Audit/Compliance and Investment Committee is provided with a calendar for the following year. Meeting dates and items are subject to change as necessary.

- ◆ The Board of Regents' Audit Policy may be found in Chapter 2.2 §9 of the Policy Manual ([http://www.iowaregents.edu/plans-and-policies/board-policy-manual/22-business-procedures/#Audit Activities](http://www.iowaregents.edu/plans-and-policies/board-policy-manual/22-business-procedures/#Audit%20Activities))
- ◆ The Board of Regents' Investment Policy may be found in Chapter 2.2 §4 of the Policy Manual ([http://www.iowaregents.edu/plans-and-policies/board-policy-manual/22-business-procedures/#Investment Policy](http://www.iowaregents.edu/plans-and-policies/board-policy-manual/22-business-procedures/#Investment%20Policy))

Meeting Date	Items
January 17, 2017 <i>Location TBD</i>	INTERNAL AUDIT <ul style="list-style-type: none"> ◆ Internal Audit Reports Issued EXTERNAL AUDIT <ul style="list-style-type: none"> ◆ University of Iowa Hospitals and Clinics
February 23, 2017 <i>Iowa State University</i>	INVESTMENT <ul style="list-style-type: none"> ◆ Investment and Cash Management Report for the Quarter Ended December 31, 2016
April 20, 2017 <i>Iowa School for the Deaf</i>	AUDITOR OF STATE <ul style="list-style-type: none"> ◆ Comprehensive Annual Financial Report ◆ Single Audit Report ◆ University Financial Reports INTERNAL AUDIT <ul style="list-style-type: none"> ◆ Internal Audit Reports Issued EXTERNAL AUDITS <ul style="list-style-type: none"> ◆ Graduate Center Audits ◆ Southwest Iowa Regents Resource Center ◆ Northwest Iowa Regents Resource Center ◆ Quad-Cities Graduate Center ◆ Iowa Public Radio

Meeting Date	Items
<p>June 7-8, 2017 <i>University of Northern Iowa</i></p>	<p>INVESTMENT</p> <ul style="list-style-type: none"> ◆ Investment and Cash Management Report for the Quarter Ended March 31, 2017
<p>August 2, 2017 <i>Telephonic Meeting</i></p>	
<p>September 7, 2017 <i>University of Iowa</i></p>	<p>AUDITOR OF STATE</p> <ul style="list-style-type: none"> ◆ FY 2017 Audit Plan ◆ State Audit Reports <p>INTERNAL AUDIT</p> <ul style="list-style-type: none"> ◆ FY 2017 Internal Audit Progress and FY 2018 Internal Audit Plans ◆ Internal Audit Reports Issued ◆ Internal Audit Charter <p>INVESTMENT</p> <ul style="list-style-type: none"> ◆ Investment and Cash Management Report for the Quarter Ended June 30, 2017 ◆ Annual Review of Peer Group Comparisons
<p>October 19, 2017 <i>University of Northern Iowa</i></p>	
<p>December 6, 2017 <i>Telephonic Meeting</i></p>	<p>INVESTMENT</p> <ul style="list-style-type: none"> ◆ Investment and Cash Management Report for the Quarter Ended September 30, 2017 <p>COMMITTEE CALENDAR FOR 2017</p>
<p>As Needed</p>	<p>AUDIT</p> <ul style="list-style-type: none"> ◆ Internal Audits ◆ Auditor of State Special Audits / Investigations ◆ External Audits <p>COMPLIANCE</p> <ul style="list-style-type: none"> ◆ Selection of Investment Advisor (Bids are solicited for an investment advisor through a competitive process at least every five years. The last RFP process for an investment advisor was conducted during 2015.) <p>INVESTMENT</p> <ul style="list-style-type: none"> ◆ Fund Manager Issues ◆ Modification of Financial Institutions, Brokerage Firms, & Money Market Mutual Funds