

**COMMITTEE CALENDAR FOR 2016**

**Action Requested:** Receive the Audit/Compliance and Investment Committee Calendar for 2016.

**Executive Summary:** The Audit/Compliance and Investment Committee is provided with a calendar for the following year. Meeting dates and items are subject to change as necessary.

- ◆ The Board of Regents' Audit Policy may be found in Chapter 7.09 of the Policy Manual (<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.09.htm>)
- ◆ The Board of Regents' Investment Policy may be found in Chapter 7.04 of the Policy Manual (<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.04.htm>)

Meeting Date	Items
February 24-25, 2016 <i>University of Northern Iowa</i>	INTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ Internal Audit Reports</li> </ul> EXTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ University of Iowa Hospitals and Clinics</li> </ul>
April 20-21, 2016 <i>Iowa School for the Deaf</i>	INVESTMENT Investment and Cash Management Report for the Quarter Ended December 31, 2015  INTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ Internal Audit Reports</li> </ul> AUDITOR OF STATE <ul style="list-style-type: none"> <li>◆ Comprehensive Annual Financial Report</li> <li>◆ Single Audit Report</li> <li>◆ University Financial Reports</li> </ul> EXTERNAL AUDITS <ul style="list-style-type: none"> <li>◆ Graduate Center Audits               <ul style="list-style-type: none"> <li>◆ Southwest Iowa Regents Resource Center</li> <li>◆ Northwest Iowa Regents Resource Center</li> <li>◆ Quad-Cities Graduate Center</li> </ul> </li> <li>◆ Iowa Public Radio</li> </ul>
June 8-9, 2016 <i>Iowa State University</i>	INVESTMENT <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended March 31, 2016</li> </ul>

<b>Meeting Date</b>	<b>Items</b>
<p>August 3, 2016 <i>(telephonic meeting)</i></p>	
<p>September 7-8, 2016 <i>University of Iowa</i></p>	<p>AUDITOR OF STATE</p> <ul style="list-style-type: none"> <li>◆ FY 2016 Audit Plan</li> <li>◆ State Audit Reports</li> </ul> <p>INTERNAL AUDIT</p> <ul style="list-style-type: none"> <li>◆ FY 2016 Internal Audit Progress and FY 2017 Internal Audit Plans</li> <li>◆ Internal Audit Report</li> </ul> <p>INVESTMENT</p> <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended June 30, 2016</li> <li>◆ Annual Review of Peer Group Comparisons</li> </ul>
<p>October 19-20, 2016 <i>Iowa State University</i></p>	<p>INTERNAL AUDIT</p> <ul style="list-style-type: none"> <li>◆ Internal Audit Reports</li> </ul> <p>COMMITTEE CALENDAR FOR 2017</p>
<p>December 7, 2016 <i>(telephonic meeting)</i></p>	<p>INVESTMENT</p> <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended September 30, 2016</li> </ul>
<p>As Needed</p>	<p>AUDIT</p> <ul style="list-style-type: none"> <li>◆ Internal Audits</li> <li>◆ Auditor of State Special Audits / Investigations</li> <li>◆ External Audits</li> </ul> <p>COMPLIANCE</p> <ul style="list-style-type: none"> <li>◆ Selection of Investment Advisor (Bids are solicited for an investment advisor through a competitive process at least every five years. The last RFP process for an investment advisor was conducted during 2015.)</li> </ul> <p>INVESTMENT</p> <ul style="list-style-type: none"> <li>◆ Fund Manager Issues</li> <li>◆ Modification of Brokerage Firms, Financial Institutions, and Money Market Mutual Funds</li> </ul>