

COMMITTEE CALENDAR FOR 2014

Action Requested: Receive the Audit/Compliance and Investment Committee Calendar for calendar year 2014.

Executive Summary: The Audit/Compliance and Investment Committee is provided with a calendar for the following year. Meeting dates and items are subject to change as necessary.

- ♦ The Board of Regents' Audit Policy may be found in Chapter 7.09 of the Policy Manual (<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.09.htm>)
- ♦ The Board of Regents' Investment Policy may be found in Chapter 7.04 of the Policy Manual (<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.04.htm>)

| Meeting Date | Items |
|--------------------|--|
| February 5-6, 2014 | INTERNAL AUDIT <ul style="list-style-type: none"> ♦ Internal Audit Reports EXTERNAL AUDIT <ul style="list-style-type: none"> ♦ University of Iowa Hospitals and Clinics ♦ University of Iowa Health System and subsidiaries Audit Report |
| March 12, 2014 | INVESTMENT <ul style="list-style-type: none"> ♦ Investment and Cash Management Report for the Quarter Ended December 31, 2013 |
| April 23-24, 2014 | INTERNAL AUDIT <ul style="list-style-type: none"> ♦ Internal Audit Reports AUDITOR OF STATE <ul style="list-style-type: none"> ♦ Comprehensive Annual Financial Report ♦ Single Audit Report ♦ University Financial Reports EXTERNAL AUDITS <ul style="list-style-type: none"> ♦ Graduate Center Audits <ul style="list-style-type: none"> ♦ Southwest Iowa Regents Resource Center ♦ Northwest Iowa Regents Resource Center ♦ Quad-Cities Graduate Center ♦ Iowa Public Radio |
| June 4-5, 2014 | INVESTMENT <ul style="list-style-type: none"> ♦ Investment and Cash Management Report for the Quarter Ended March 31, 2014 |
| August 6, 2014 | INTERNAL AUDIT <ul style="list-style-type: none"> ♦ FY 2014 Internal Audit Progress and FY 2015 Internal Audit Plans ♦ Internal Audit Reports AUDITOR OF STATE <ul style="list-style-type: none"> ♦ FY 2014 Audit Plan ♦ State Audit Reports |

| Meeting Date | Items |
|---------------------|---|
| September 10, 2014 | INVESTMENT <ul style="list-style-type: none"> ◆ Investment and Cash Management Report for the Quarter Ended June 30, 2014 ◆ Annual Review of Peer Group Comparisons ◆ Annual Review of Brokerage Firms, Financial Institutions, and Money Market Mutual Funds |
| October 22-23, 2014 | INTERNAL AUDIT <ul style="list-style-type: none"> ◆ Internal Audit Reports COMMITTEE CALENDAR FOR 2015 |
| December 3, 2014 | INVESTMENT <ul style="list-style-type: none"> ◆ Investment and Cash Management Report for the Quarter Ended September 30, 2014 |
| <i>As Needed</i> | AUDIT <ul style="list-style-type: none"> ◆ Internal Audits ◆ Auditor of State Special Audits / Investigations ◆ External Audits COMPLIANCE <ul style="list-style-type: none"> ◆ Selection of Investment Advisor (2006, 2012, next selection →2017) INVESTMENT <ul style="list-style-type: none"> ◆ Fund Manager Issues ◆ Modification of Brokerage Firms, Financial Institutions, and Money Market Mutual Funds |