

**COMMITTEE CALENDAR FOR 2013**

**Action Requested:** Receive the Audit/Compliance and Investment Committee Calendar for calendar year 2013.

**Executive Summary:** The Audit/Compliance and Investment Committee is provided with a calendar for the following year. Meeting dates and items are subject to change as necessary.

- ◆ The Board of Regents' Audit Policy may be found in Chapter 7.09 of the Policy Manual (<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.09.htm>)
- ◆ The Board of Regents' Investment Policy may be found in Chapter 7.04 of the Policy Manual (<http://www.regents.iowa.gov/Policies/Chapter%207/chapter7.04.htm>)

Meeting Date	Items
February 7, 2013	INTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ Internal Audit Reports</li> </ul> EXTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ University of Iowa Hospitals and Clinics</li> <li>◆ University of Iowa Health System and subsidiaries Audit Report</li> </ul>
March 13, 2013	INVESTMENT <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended December 31, 2012</li> </ul>
April 24-25, 2013	INTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ Internal Audit Reports</li> </ul> AUDITOR OF STATE <ul style="list-style-type: none"> <li>◆ Comprehensive Annual Financial Report</li> <li>◆ Single Audit Report</li> <li>◆ University Financial Reports</li> </ul> EXTERNAL AUDITS <ul style="list-style-type: none"> <li>◆ Graduate Center Audits               <ul style="list-style-type: none"> <li>◆ Quad-Cities Graduate Center</li> <li>◆ Southwest Iowa Regents Resource Center</li> <li>◆ Tri-State Graduate Center</li> </ul> </li> <li>◆ Iowa Public Radio</li> </ul>
June 5, 2013	INVESTMENT <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended March 31, 2013</li> </ul>
August 8, 2013	INTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ FY 2013 Internal Audit Progress and FY 2014 Internal Audit Plans</li> <li>◆ Internal Audit Reports</li> </ul> AUDITOR OF STATE <ul style="list-style-type: none"> <li>◆ FY 2013 Audit Plan</li> <li>◆ State Audit Reports</li> </ul>

<b>Meeting Date</b>	<b>Items</b>
September 11, 2013	INVESTMENT <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended June 30, 2013</li> <li>◆ Annual Review of Peer Group Comparisons</li> <li>◆ Annual Review of Brokerage Firms and Financial Institutions</li> </ul>
October 23-24, 2013	INTERNAL AUDIT <ul style="list-style-type: none"> <li>◆ Internal Audit Reports</li> </ul>
December 4, 2013	INVESTMENT <ul style="list-style-type: none"> <li>◆ Investment and Cash Management Report for the Quarter Ended September 30, 2013</li> </ul>
<i>As Needed</i>	AUDIT <ul style="list-style-type: none"> <li>◆ Internal Audits</li> <li>◆ Auditor of State Special Audits / Investigations</li> <li>◆ External Audits</li> </ul> COMPLIANCE <ul style="list-style-type: none"> <li>◆ Selection of Investment Advisor (2006, 2012, next selection →2017)</li> </ul> INVESTMENT <ul style="list-style-type: none"> <li>◆ Fund Manager Issues</li> <li>◆ Modification of Brokerage Firms and Financial Institutions</li> </ul>