

Contact: Aimee Claeys

**SEMI-ANNUAL CLAIMS ACTIVITY REPORTS: JANUARY 1, 2022 - JUNE 30, 2022**

**Action Requested:** Receive the semi-annual claims activity reports for the period of January 1, 2022 through June 30, 2022.

**Executive Summary:** The semi-annual claims activity reports are designed to address eight categories of pending claims:

- (1) Lawsuits<sup>1</sup>;
- (2) Tort and contract claims not yet lawsuits;
- (3) Complaints filed with administrative agencies other than workers' compensation claims;
- (4) Workers' compensation claims;
- (5) Internal discrimination complaints/investigations;
- (6) Faculty and Professional and Scientific (P&S) grievances and disciplinary measures;
- (7) Internal grievances/claims/investigations of contract-covered staff; and
- (8) Other matters which may be unique to the institution.

The institutions and the Board Office have submitted reports for each category and have prepared executive summaries highlighting significant issues, trends, areas for improvement and initiatives to address the identified problem areas. The executive summaries submitted by the institutions are attached to this memorandum.

---

<sup>1</sup> This category may include lawsuits in which multiple Regent institutions and/or the Board of Regents are named parties. These claims are included in the reports for each named party.

Office of the Board of Regents, State of Iowa

**Executive Summary:** The following table summarizes the number of cases, claims, complaints and grievances applicable to the Board Office for the January 1, 2022 through June 30, 2022 time period and compares those numbers to prior periods.

Type of Claim Pending	06/19	12/19	6/20	12/20	6/21	12/21	6/22
1. Litigation	1	0	1	1	1	0	0
2. Contract and Tort Claims	0	0	0	0	0	0	0
3. Administrative Agencies	0	0	0	0	0	0	0
4. Workers' Compensation	0	0	0	0	0	0	0
5. Internal Discrimination Complaints	0	0	0	0	0	0	0
6. Faculty and P&S Grievances & Discipline	0	0	0	0	0	0	0
7. Merit Grievances	0	0	0	0	0	0	0

**University of Iowa**

**Executive Summary:** The following table summarizes the number of cases, claims, complaints and grievances applicable to the University of Iowa for the January 1, 2022 through June 30, 2022 time period, and compares those numbers to prior periods.

<b>Type of Claim Pending</b>	<b>06/19</b>	<b>12/19</b>	<b>06/20</b>	<b>12/20</b>	<b>06/21</b>	<b>12/21</b>	<b>06/22</b>
1. Litigation	25	19	24	26	20	12	14
2. Contract and Tort Claims	25/5	27/4	27/3	23/2	23/1	14/0	2/2
3. Administrative Agencies	28	27	28	30	32	44	25
4. Workers' Compensation	794	838	638	668	661	704	603
5. Internal Discrimination Complaints	8	8	12	2	7	12	20
6. Faculty and P&S Grievances & Discipline	3	3	2	2	1	2	3
7. Merit Grievances	13	19	18	13	12	13	8
8. UIHC Tort Claims	21	26	28	36	44	33	23
9. UIHC Lawsuits	29	25	24	22	22	26	24

**1. Litigation**

Developments, Trends and Reasons for Occurrence: This report includes a listing of all lawsuits that were still pending on June 30, 2022. Four (4) lawsuits were dismissed, settled or otherwise adjudicated during this period and will be deleted from the next report. Four (4) new lawsuits were filed during this period. As of June 30, 2022, there are fourteen (14) pending lawsuits. No discernable trend can be identified.

**2. Contract and Tort Claims**

Developments, Trends and Reasons for Occurrence:

Tort Claims: During this reporting period, seven (7) claims were denied/recommended for denial, withdrawn or settled and will be deleted from the next report. Six (6) new tort claims were filed during this period. As of June 30, 2022, there are two (2) pending tort claims. No discernible trend can be identified.

Contract Claims: This report includes a listing of thirteen (13) claims. During this period, eleven (11) contract claims were paid. Zero (0) were filed. Zero (0) were amended. There are two (2) pending claims. No discernible trend can be identified.

**3. Administrative Agencies**

Developments, Trends and Reasons for Occurrence: Three (3) administrative agency claims were settled or administratively closed in this time period and three (3) new claims were filed. As of June 30, 2022, twenty-five (25) cases were pending.

**4. Workers' Compensation Claims**

Developments, Trends and Reasons for Occurrence: No discernable trend has been identified.

**5. Internal Discrimination Complaints**

Developments, Trends and Reasons for Occurrence: The number of pending cases during this reporting period is twenty (20).

**6. Faculty and P&S Grievances and Disciplinary Cases**

Developments, Trends and Reasons for Occurrence: There were zero (0) faculty, zero (0) P&S, two (2) SNAHP and one (1) COGS grievances filed during this period. The number of active cases is three (3).

**7. Merit Grievances**

Developments, Trends and Reasons for Occurrence: There were three (3) grievances filed during this reporting period. The number of active cases is eight (8).

**8. UIHC Tort Claims<sup>2</sup>**

Developments, Trends and Reasons for Occurrence: During this period, seventeen (17) tort claims were denied, withdrawn or settled and will be deleted from the next report. Four (4) of these tort claims have now been filed as lawsuits (and are also listed in that section of the report). Eleven (11) new tort claims were filed during this period. As of June 30, 2022, there are twenty-three (23) pending tort claims.

**9. UIHC Lawsuits**

Developments, Trends and Reasons for Occurrence: Five (5) lawsuits were dismissed, settled or otherwise adjudicated during this period and will be deleted from the next report. Four (4) new lawsuits were filed during this period. As of June 30, 2022, there are twenty-four (24) pending lawsuits.

---

<sup>2</sup> Does not include small claims addressed by UIHC pursuant to a 28E Agreement with the Department of Justice. During this reporting period, zero (0) 28E Agreement tort claim were settled directly by UIHC.

Iowa State University

**Executive Summary:** The following table summarizes the number of cases, claims, complaints and grievances applicable to Iowa State University for the January 1, 2022 through June 30, 2022 time period and compares those numbers to prior periods.

Type of Claim Pending	06/19	12/19	06/20	12/20	06/21	12/21	06/22
1. Litigation	15	10	10	8	7	9	7
2. Contract and Tort Claims	16	11	9	12	16	14	14
3. Administrative Agencies	9	8	8	8	7	10	4
4. Workers' Compensation	165	156	118	123	113	115	136
5. Internal Discrimination Complaints	15	7	2	0	0	0	4
6. Faculty and P&S Grievances & Discipline	8	9	14	9	10	10	13
7. Merit Grievances	1	0	0	2	1	1	0

**1. Litigation**

Developments, Trends and Reasons for Occurrence: In this reporting period, three (3) new lawsuits were filed. One (1) matter is scheduled for trial in 2022.

**2. Contract and Tort Claims**

Developments, Trends and Reasons for Occurrence: There are ten (10) tort claims pending at the close of this reporting period claim significant damages over \$100,000. There are zero (0) contract claim currently on file.

**3. Administrative Agencies**

Developments, Trends and Reasons for Occurrence: Zero (0) new complaints were filed this period.

**4. Workers' Compensation Claims**

Developments, Trends and Reasons for Occurrence: The number of claims for workers' compensation remains near the average.

**5. Internal Discrimination Complaints**

Developments, Trends and Reasons for Occurrence: Three (3) internal discrimination complaints remain pending at the close of this reporting period.

**6. Faculty and P&S Grievances and Disciplinary Cases**

Developments, Trends and Reasons for Occurrence: Of the ten (10) faculty matters, four (4) matter remains pending at the close of the reporting period. Three (3) P&S disciplinary cases/grievances were received during this reporting period.

**7. Merit Grievances**

Developments, Trends and Reasons for Occurrence: Zero (0) merit grievances were filed during this reporting period.

University of Northern Iowa

**Executive Summary:** The following table summarizes the number of cases, claims, complaints and grievances applicable to the University of Northern Iowa for the January 1, 2022 through June 30, 2022 reporting period, and compares those numbers to prior periods.

Type of Claim Pending	06/19	12/19	06/20	12/20	06/21	12/21	06/22
1. Litigation	5	6	6	7	7	3	2
2. Tort and Contract Claims	6	2	7	2	1	1	5
3. Administrative Agencies	1	2	1	2	6	7	5
4. Workers' Compensation	72	55	46	38	35	53	65
5. Internal Discrimination Complaints	18	22	11	5	5	8	9
6. Faculty & P&S Grievances & Discipline	2	1	1	1	2	3	4
7. Merit Grievances	1	44	42	0	0	0	0

**1. Litigation**

Developments, Trends and Reasons for Occurrence: The number of lawsuits has decreased for the current reporting period. There are no apparent trends evidenced by the issues presented in the cases. One (1) of the two (2) cases involves all of the Regents' universities.

**2. Tort and Contract Claims**

Developments, Trends and Reasons for Occurrence: The number of claims for this period increased but is fairly consistent with past periods. No trends are identified or apparent by the number of claims, or the type or subject-matter of the claim(s). The University will review the numbers of these claims and types of cases moving forward in case further action is determined to be needed.

**3. Administrative Agencies**

Developments, Trends and Reasons for Occurrence: The number of complaints filed outside of the University, with administrative agencies, is slightly reduced but similar in comparison with prior periods. The University will continue to review the claims in this category to determine if there are any trends or consistent issues requiring additional work.

**4. Workers' Compensation Claims**

Developments, Trends and Reasons for Occurrence: The number of workers' compensation claims filed during the reporting period increased slightly in comparison with the immediately prior period. However, the number of claims is not inconsistent with past experience. There are no readily apparent trends relating to the workers' compensation claims data at this time.

**5. Internal Discrimination Complaints/Investigations**

Developments, Trends and Reasons for Occurrence: The number of internal complaints reflected in this category increased slightly from the prior period but is not inconsistent with prior experience. The University strives to handle all of these complaints in a timely and appropriate manner, and will continue to review this area and these types of complaints for any indication of trends and/or need for changes or improvements.

**6. Faculty and P&S Grievances and Disciplinary Cases**

Developments, Trends and Reasons for Occurrence: The number of grievances or cases in this category increased slightly from the prior period. The number of grievances or appeals is not large. No trend or consistent issue is reflected by the grievances in this category.

**7. Merit Grievances**

Developments, Trends and Reasons for Occurrence: The number of Merit employee grievances was the same as the two immediately prior periods. The University will continue to review grievances in this area for trends or other issues.



**Iowa Educational Services for the Blind and Visually Impaired**

**Executive Summary:** The following table summarizes the number of cases, claims, complaints and grievances applicable to the Iowa Educational Services for the Blind and Visually Impaired for the January 1, 2022 through June 30, 2022 time period, and compares those numbers to prior periods.

<b>Type of Claim Pending</b>	<b>06/19</b>	<b>12/19</b>	<b>06/20</b>	<b>12/20</b>	<b>06/21</b>	<b>12/21</b>	<b>06/22</b>
1. Litigation	0	0	0	0	0	0	0
2. Contract and Tort Claims	0	0	0	0	0	0	0
3. Administrative Agencies	0	0	0	0	0	0	0
4. Workers' Compensation	2	0	1	2	0	1	2
5. Internal Discrimination Complaints	0	0	0	2	0	0	0
6. Faculty and P&S Grievances & Discipline	0	0	0	0	0	0	0
7. Merit Grievances	0	0	0	0	0	0	0

During the current reporting period, IESBVI had two (2) workers' compensation claims.

**Iowa School for the Deaf**

**Executive Summary:** The following table summarizes the number of cases, claims, complaints and grievances applicable to the Iowa School for the Deaf for the January 1, 2022 through June 30, 2022 time period, and compares those numbers to prior periods.

<b>Type of Claim Pending</b>	<b>06/19</b>	<b>12/19</b>	<b>06/20</b>	<b>12/20</b>	<b>06/21</b>	<b>12/21</b>	<b>06/22</b>
1. Litigation	0	0	0	0	0	0	0
2. Contract and Tort Claims	0	0	0	0	0	0	0
3. Administrative Agencies	0	0	0	0	0	0	0
4. Workers' Compensation	3	6	5	1	5	4	5
5. Internal Discrimination Complaints	0	0	0	0	0	1	0
6. Faculty and P&S Grievances & Discipline	0	0	0	0	0	0	0
7. Merit Grievances	0	0	0	0	0	0	0

**4. Workers' Compensation**

Developments, Trends and Reasons for Occurrence: ISD had five (5) workers compensation claims during this period.