

AUDIT/COMPLIANCE AND INVESTMENT COMMITTEE  
BOARD OF REGENTS, STATE OF IOWA

SUN ROOM, MEMORIAL UNION  
IOWA STATE UNIVERSITY  
AMES, IOWA

SEPTEMBER 10, 2014  
9:00 a.m.\*

- |  |                |
|--|----------------|
| 1. Minutes of August 6, 2014, Telephonic Committee Meeting   | Decision       |
| 2. FY 2014 State Audit Plan (Mosiman)  | Discussion     |
| 3. State Audit Reports (Mosiman)   | Discussion     |
| ▶ FY 2013 Reports of Recommendation  |                |
| ♦ University of Iowa   |                |
| ♦ Iowa State University  |                |
| ♦ University of Northern Iowa  |                |
| ♦ Iowa School for the Deaf   |                |
| ♦ Iowa Braille and Sight Saving School   |                |
| ♦ Board Office   |                |
| ▶ Report on Special Investigation of the University of Iowa Athletic Ticket Office and Hawkeye Express |                |
| 4. State Auditor Review of University Financial Statements (Nielsen)                                   | Discussion     |
| 5. FY 2014 Audit Progress and FY 2015 Internal Audit Plans (Stewart)                                   | Recommendation |
| 6. Internal Audit Reports Issued (Stewart)   | Discussion     |
| 7. Internal Audit Charter (Stewart)  | Recommendation |
| 8. Investment and Cash Management Report for the Quarter Ended June 30, 2014 (Wilshire Consulting)     | Discussion     |
| 9. Annual Review of Peer Group Comparisons (Wilshire Consulting)                                       | Discussion     |
| 10. Revision to the Board of Regents Policy Manual – Chapter 7 (Wilshire Consulting)                   | Recommendation |
| 11. Fund Manager Issues (Wilshire Consulting)  | Recommendation |

**\*Note: Time is approximate**