

Contact: Andrea Anania

CONFLICT OF INTEREST VENDORS

Action Requested: Consider approval of the following vendors with a potential conflict of interest:

UNIVERSITY OF IOWA

- ◆ Integrative Psychiatry of Iowa, P.C.
- ◆ Ross Medical Supply Company
- ◆ TshirtBooyah

IOWA STATE UNIVERSITY

- ◆ Mark Chidister : Furniture
- ◆ Bianca Zaffarano

UNIVERSITY OF NORTHERN IOWA

- ◆ Around the Corner Productions, Inc.
- ◆ Global Competency Consulting LLC

Executive Summary: Vendors at the Regent institutions and the Board Office with a potential conflict of interest are required by statute to be approved by the Board of Regents.

UNIVERSITY OF IOWA

Integrative Psychiatry of Iowa, P.C. (IPOI) is a consultative services business specializing in ethics and professionalism and psychiatric medical services. Dr. Janeta Tansey is the sole owner and employee of the business, which is located in Iowa City, Iowa.

Dr. Janeta Tansey's husband, Dr. Michael Tansey, is employed on a full-time basis as a Clinical Associate Professor in the Department of Pediatrics (DOP), College of Medicine (COM), at the University of Iowa.

The University reports that:

- ◆ Dr. Michael Tansey does not have any authority to issue purchase orders or to approve payments for his department, nor has he been issued a procurement card;
- ◆ Dr. Michael Tansey will not participate in any discussions, decisions, business transactions, or correspondence involving IPOI and the University of Iowa; and
- ◆ COM DOP will solicit competitive bids whenever IPOI is involved.

Ross Medical Supply Co. Inc. (RMSCI) is a medical supply company. Paul Johanson holds a 25% ownership in the company, which is located in Moline, Illinois.

Paul Johanson's wife, Marcia Cordts, is employed on a full-time basis as a Program Associate I in the Department of Microbiology (DOM), College of Medicine (COM), at the University of Iowa.

The University reports that:

- ◆ Marcia Cordts does not have any authority to issue purchase orders or to approve payments for her department, nor has she been issued a procurement card;
- ◆ Marcia Cordts will not participate in any discussions, decisions, business transactions, or correspondence involving RMSCI and the University of Iowa; and
- ◆ COM DOM will solicit competitive bids whenever RMSCI is involved.

TshirtBooyah is a t-shirt and tote bag printing business. Eric Johnson owns the business, which is located in Iowa City, Iowa.

Eric Johnson is employed on a full-time basis in an Application Development and Support II position in Human Resources (HR) at the University of Iowa.

The University reports that:

- ◆ Eric Johnson does not have any authority to issue purchase orders or to approve payments for his department, nor has he been issued a procurement card;
- ◆ Eric Johnson will not participate in any discussions, decisions, business transactions, or correspondence involving TshirtBooyah and the University of Iowa; and
- ◆ HR will solicit competitive bids whenever TshirtBooyah is involved.

IOWA STATE UNIVERSITY

Mark Chidister : Furniture (MC : F) sells furniture designs and finished furniture pieces. Mark Chidister is sole proprietor of the business, which is located in Ames, Iowa.

Mark Chidister is employed on a full-time basis as an Associate Professor in the Department of Art and Design (DAD), College of Design (CD), at Iowa State University.

The University reports that:

- ◆ Mark Chidister has been issued a university procurement card; DAD administrative staff review and approve his procurement card transactions;
- ◆ Mark Chidister will not participate in any discussions, decisions, business transactions, or correspondence involving MC : F and ISU, nor will university resources be consumed or utilized to perform the services provided or the products produced;
- ◆ DAD and the CD Dean acknowledge awareness of Mark Chidister's request to do business as a conflict of interest vendor and will permit these efforts as long as they are conducted outside the workplace and on his own time;
- ◆ CD agrees that Mark Chidister would have no influence in awarding a University contract, nor will he be permitted to serve on any related University committees for this type of work; and
- ◆ DAD will not purchase services or products from MC : F.

Dr. Bianca Zaffarano would like to be approved as a conflict of interest vendor. She owns a surgical laser that she would like to sell to Iowa State University's Lloyd Veterinary Medical Center.

Dr. Zaffarano is employed on a full-time basis as a clinician in the Department of Veterinary Clinical Sciences (DVCS), College of Veterinary Medicine (CVM), at Iowa State University.

The University reports that:

- ◆ Dr. Zaffarano has not been issued a university procurement card;
- ◆ Dr. Zaffarano will not participate, as a clinician or at her workplace, in any discussions, decisions, business transactions, or correspondence involving this transaction with ISU, nor will she have any authority to make any University purchasing or payment decisions associated with this transaction;
- ◆ The DVCS and CVM Dean acknowledge awareness of Dr. Zaffarano's request to do business as a conflict of interest vendor and will permit these efforts as long as they are conducted outside the workplace and on her own time;
- ◆ The DVCS Chair will ensure that Dr. Zaffarano's negotiations to sell this equipment are conducted outside the ISU workplace;
- ◆ Dr. Zaffarano may be requested to mentor other clinicians in its correct use; mentoring would be voluntary with no investment from the University should the request be made;
- ◆ CVM agrees that Dr. Zaffarano would have no influence in awarding a University contract, nor will she be permitted to serve on any related University committees for this type of work; and
- ◆ DVCS will not purchase services from Dr. Zaffarano.

UNIVERSITY OF NORTHERN IOWA

Around the Corner Productions, Inc. provides video production services. Eric Braley owns the business, which is located in Cedar Falls, Iowa.

Eric Braley is employed on a part-time basis as an adjunct instructor in the Department of Communication Studies (DCS), College of Humanities and Fine Arts (CHFA), at the University of Northern Iowa.

The University reports that:

- ◆ Eric Braley does not have any authority to issue purchase orders or approve payments for his department, nor has he been issued a University procurement card;
- ◆ DCS and the CHFA Dean acknowledge awareness of Eric Braley's request to do business as a conflict of interest vendor and will permit these efforts as long as they are conducted outside the workplace and on his own time;
- ◆ DCS agrees that Eric Braley would have no influence in awarding a University contract; and
- ◆ DCS will not purchase services from Eric Braley without prior bidding, where appropriate, and review of outside experts, if necessary.

Global Competency Consulting LLC (GCC) provides technology support solutions, educational research, and other specialized consulting services, including a focus on study abroad offices and other international programs in higher education. GCC also sells educational materials and supplies. Wing Keung Jason Lau owns the business, which is located in Cedar Falls, Iowa.

Wing Keung Jason Lau's wife, Ying Yan Vigenia Njoo-Lau, is employed on a full-time basis as a Budget/Accounts Supervisor in the College of Education's (COE) School of Health, Physical Education and Leisure Services' (SOHPE&LS) *Camp Adventure*TM Youth Services program at the University of Northern Iowa.

The University reports that:

- ◆ Ying Yan Vigenia Njoo-Lau does not have any authority to issue purchase orders or approve payments for her department, nor has she been issued a University procurement card;
- ◆ SOHPE&LS and the COE Dean acknowledge awareness of Wing Keung Jason Lau's request to do business as a conflict of interest vendor;
- ◆ SOHPE&LS agrees that Ying Yan Vigenia Njoo-Lau would have no influence in awarding a University contract or approving payments relevant to services provided by or products purchased from GCC; and
- ◆ SOHPE&LS and COE agree that they will not purchase from GCC without prior bidding, where appropriate, and review of outside experts, if necessary.

Background:

The Iowa Code [68B]:

- ◆ Does not alleviate the requirement for institutions to obtain competitive bids and provide public notice.
- ◆ Prohibits an official or employee of a regulatory agency from selling, either directly or indirectly, goods or services to the agency of which the individual is an official or employee, except when certain conditions are met.
- ◆ Requires all regulatory agencies to adopt rules specifying the method by which employees may obtain agency consent for exception.

The Iowa Administrative Code [681-8.9]:

- ◆ Precludes individuals with potential conflicts from being directly involved in the purchasing decisions or authorizing of any such contracts making material changes to such contracts.
- ◆ Requires Board authorization when a single purchase from a conflict of interest vendor (Regent employee) exceeds \$2,000 unless the sale is made after public notice and competitive bidding.

Once a vendor with a potential conflict of interest is approved by the Board, any Regent institution is authorized to purchase from that vendor.