

Contact: Aimee Claeys

DELEGATION OF AUTHORITY:
U.S. DEPARTMENT OF ENERGY KEY MANAGEMENT PERSONNEL

Action Requested: Delegate to the Iowa State University President the authority to exclude Key Management Personnel for the purposes of the Ames Laboratory FOCI evaluation.

Executive Summary: The United States Department of Energy (DOE) contracts with Iowa State University to manage and operate Ames Laboratory – a national laboratory located on Iowa State University's campus. Certain Iowa State University employees working at Ames Laboratory possess security clearances. DOE recently initiated a process shifting the responsibility for managing these security clearances from DOE to Iowa State University.

As part of the new process, Ames Laboratory is required to complete a facility clearance which evaluates the foreign ownership, control, and influence (FOCI) of Iowa State University as the operator of the lab. The FOCI evaluation captures Key Management Personnel, which includes all individuals who have been identified by DOE as having the ability to control or influence Iowa State University's contract to operate the Ames Laboratory.

Many individuals listed as Key Management Personnel do not otherwise possess security clearances and can be excluded from the FOCI evaluation process as a result. As the governing body for Iowa State University, specific Board action is typically required to exclude individual Key Management Personnel. Since the FOCI evaluation process is ongoing, Board action would be required for each change in a Key Management Personnel position. In order to streamline the process, Iowa State University requests delegation of authority to exclude Key Management Personnel to the President. DOE indicated this recommendation would satisfy FOCI evaluation process requirements.