# Board of Regents, State of Iowa

# **Sudan Divestment**

- A. Total Universe of Companies Per EIRIS Conflict Risk Network
- B. Summary of all written notices sent
- **C. Total Positions Divested**

As of: June 30, 2019

# A. Total Universe of SUDAN Scrutinized Companies

# As of June 30, 2019

Anton Oilfield Services Group	Scrutinized			
Arabian Pipes Co	Scrutinized			
Asec Company for Mining	Scrutinized			
Baker Hughes a GE company	Scrutinized			
China Energy Engineering Corporation Limited	Scrutinized			
China Petroleum & Chemical Corp	Scrutinized			
China Yangtze Power Co	Scrutinized			
Dongfeng Motor Group Co	Scrutinized			
Dubai Investments	Scrutinized			
El Sewedy Electric Company	Scrutinized			
Energy House Holding Company K.S.C.C.	Scrutinized			
General Electric Co.	Scrutinized			
Gtl Otkrytoe Aktsionernoe Obshchest	Scrutinized			
Harbin Electric Company Limited	Scrutinized			
Indian Oil Corporation Ltd.	Scrutinized			
Kuwait Finance House	Scrutinized			
Managem	Scrutinized			
Oil & Natural Gas Corporation Ltd.	Scrutinized			
Oil India Ltd	Scrutinized			
Orca Gold Inc	Scrutinized			
PetroChina Co. Ltd.	Scrutinized			
Qalaa Holdings	Scrutinized			
Siemens AG	Scrutinized			

### B. Summary of all written notices

#### **Each Quarter**

Board of Regents notified Regent institutions of Sudan Divestment Task Force document valid for the quarter ended that lists scrutinized companies. Institutions asked to identify any of these companies within their investment holdings. Institutions responded.

The following chart summarizes the number of companies contacted in fiscal year 2019 pursuant of chapter 12F

Time Period	Number			
September 30, 2018	All			
December 31, 2018	1			
March 31, 2019	4			
June 30, 2019	-			

#### \* - all scrutinized companies were sent annual engagement letter

October 1, 2019

File annual report

Post notice on Regents website that Sudan Divestment annual report has been filed and is available upon request.

### List of Board of Regents "Scrutinized Companies" As of June 30, 2019

Company	Letters Mailed	Category	Direct Holding	Indirect Holding	
Annual Letters to All Scrutinized	1st Qtr	Scrutinized		Х	
Anton Oilfield Services Group	2nd Qtr	Scrutinized		Х	
Baker Hughes a GE company	3rd Qtr	Scrutinized		Х	
China Energy Engineering Corporation	3rd Qtr	Scrutinized		Х	
China Yangtze Power Co	3rd Qtr	Scrutinized		Х	
General Electric	3rd Qtr	Scrutinized		Х	

Companies deemed "Inactive" by the Sudan Divestment Task Force are not subject to divestment. Letters are sent annually.

### C. Total Positions Divested

Company	Security	Manager	Category	Active/Inactive	Shares	<b>Book Cost</b>	Principal Rec'd	Gain/Loss		
								-		
								-		
	A	Average Cost of the shares -								
	P	roceeds								
	D	Difference between Cost of shares and Sale of shares:								

No funds required divestment

As of June 30, 2019

#### DIRECT HOLDINGS

Siemens AG

Regent universities have no direct holdings in Sudan prohibited companies

INDIRECT HOLDINGS Market Values COMPANY SUI ISU Anton Oilfield Services Group 327 \$ 152 \$ Arabian Pipes Co Asec Company for Mining 198,720 \$ Baker Hughes a GE company \$ 56,689 China Energy Engineering Corporation Limited China Petroleum & Chemical Corp 262,786 \$ 85,108 \$ China Yangtze Power Co \$ 2,917 \$ 612 Dongfeng Motor Group Co 14,153 \$ 3,071 \$ Dubai Investments \$ 2,620 \$ 893 El Sewedy Electric Company Energy House Holding Company K.S.C.C. General Electric Co. \$ 1,049,396 \$ 247,480 Gtl Otkrytoe Aktsionernoe Obshchest 18,800 \$ Harbin Electric Company Limited 7,603 \$ Indian Oil Corporation Ltd. \$ 28,433 \$ 6,259 10,648 Kuwait Finance House \$ 31,347 \$ Managem Oil & Natural Gas Corporation Ltd. 9,613 \$ 28,423 \$ Oil India Ltd \$ 2,719 \$ 922 Orca Gold Inc PetroChina Co. Ltd. \$ 199,862 \$ 66,631 Qalaa Holdings

554,469 \$

\$

118,007