AUDIT AND COMPLIANCE COMMITTEE
BOARD OF REGENTS, STATE OF IOWA
AGENDA
NOVEMBER 3, 2004 – 9:30 A.M.

University of Northern Iowa
Cedar Falls, Iowa

Members:
Owen Newlin, Chair
Mary Ellen Becker
Robert Downer

David Neil
President John Forsyth (ex officio)

Anticipated Action

Call to Order (Chair)

AUD 1. Minutes from August 3, 2004 Committee Meeting (Staff) Approval

AUD 2. Revised Committee Work Plan (Chair) Discussion

AUD 3. State of Iowa Audit Reports (State Auditor David Vaudt) Discussion
   a. Comprehensive Annual Financial Report
   b. Single Audit Report

AUD 4. State Audit Reports (State Auditor David Vaudt) Discussion
   a. University of Iowa
   b. Iowa State University
   c. University of Northern Iowa
   d. Iowa School for the Deaf
   e. Iowa Braille and Sight Saving School
   f. Board Office
   g. Report of Recommendations on the Review of Selected General and Application Controls over Accounts Receivable System (ISU)

AUD 5. Sarbanes Oxley Best Practices (Institutional Staff) Discussion

AUD 6. Internal Audit Reports (Pam Elliott), Discussion
   SUI/UIHC (Dick See),
   ISU (Sheryl Rippke), UNI (Tim McKenna)
   a. SUI Intercollegiate Athletics – Home Game Ticket Revenue – FY 2003-04
   b. SUI Office of the Provost
   c. SUI Opportunity at Iowa Departmental Audit
   d. SUI Travel Expenses on Procurement Card
   e. UIHC Meal Charging
   f. UIHC Safety and Security
   g. ISU Security of Remote Computing
   h. ISU Sponsored Programs – FY 2004
   i. UNI Continuing Education – Credit Programs
   j. UNI Department of Residence – Facilities
   k. UNI Field House (UNI-Dome) Operations – Event Parking
   l. UNI Human Resource Services – Workers’ Compensation
   m. UNI University Health Services – Student Health Center

AUD 7. External Audit Report – University of Northern Iowa Discussion
   Fieldhouse Operations Concessions
   UNI (Tim McKenna)

Anticipated Issues for Next Committee Meeting (Chair) Discussion
February 2-3, 2005, Iowa City

Adjournment