

Contact: Andrea Anania

MINUTES OF SEPTEMBER 11, 2013, BOARD MEETING

**MAUCKER UNION, OLD CENTRAL BALLROOM, ROOMS A AND B
UNIVERSITY OF NORTHERN IOWA
CEDAR FALLS, IOWA**

Board Members

September 11, 2013

Nicole Carroll	Present
Milt Dakovich	Present
Robert Downer	Present
Ruth Harkin	Present
Larry McKibben	Present
Katie Mulholland	Present
Bruce Rastetter	Present
Subhash Sahai	Present
Hannah Walsh	Present

Staff Members

Andrea Anania, Brad Berg, Marcia Brunson, Tim Cook, Bob Donley, Sheila Doyle Koppin, Tom Evans, Diana Gonzalez, Ann McCarthy, Joe Murphy, Joan Racki, Keith Saunders, Patrice Sayre, Todd Stewart, Ilene Tuttle

Regent Institutions

SUI Sally Mason, E. Dale Abel, Barry Butler, Ken Fisher, Ken Kates, Jean Robillard, Debra Schwinn, Doug True

ISU Steven Leath, Warren Madden, Jonathan Wickert

UNI Bill Ruud, Shawna Becker, Bettina Fabos, Kevin Finn, Gloria Gibson, Joe Gorton, Tammy Gregersen, Michael Hager, Becky Hawbaker, Jessie Hutcheson, Nadia Korobova, Annette Lynch, Chris Martin, Mark Meyers, Curt Nielsen, Julia North, Dwight Watson

ISD/IBSSS Patrick Clancy, Don Boddicker

Others

Debra Beving, Lincoln Elementary School

Neal Conover, Friends of Lakeside Lab, Inc.

Kitty Conover, Friends of Lakeside Lab, Inc.

Barry Fick, Springsted Incorporated

Debbie Lee, Waterloo Schools

Saunie Schuster, National Center for Higher Education Risk Management and Schuster & Clifford, LLP

Jarith Witt, Lincoln Elementary School

The corresponding memorandum for each agenda item, an integral component of the minutes, is available on the Board of Regents website: <http://www.regents.iowa.gov/>. Copies of these memoranda can also be obtained from the Board Office by calling 515-281-3934. Audio of the meeting is also available on the web site.

Call to Order for September 11, 2013

President Rastetter called the Board of Regents meeting to order at 9:04 a.m.

Roll Call:

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Present	X	X	X	X	X	X	X	X	X
Absent									

Sexual Misconduct Presentation and Training

Executive Director Bob Donley recognized UNI Center for Violence Prevention Director and School of Applied Human Sciences Professor Annette Lynch, and briefly described her role in development of the Regents sexual misconduct policies.

Executive Director Donley then introduced Saunie Schuster, Partner with the National Center for Higher Education Risk Management (NCHERM), a national risk management legal consulting firm, and Managing Partner of Schuster & Clifford, LLP, a law practice devoted exclusively to education law. Ms. Schuster gave an update on the legal landscape of sexual misconduct on campus.

Discussion was held on what Ms. Schuster believes is the weakest part of the Regents system and her advice on how the Regents system can improve; what category of people are the most receptive to training; if alleged victims should be encouraged to seek law enforcement assistance; and determining consent between incapacitated parties.

- The Board received the presentation and training by GENERAL CONSENT.

Discussion with University of Northern Iowa United Faculty President

UNI United Faculty President Dr. Joe Gorton described United Faculty and their role at UNI; reported that the relationship between United Faculty and the Board of Regents is heading in a positive direction; and discussed challenges on state funding, faculty morale, and the United Faculty's relationship with UNI administration and the Board.

- The Board received the discussion by GENERAL CONSENT.

Audit/Compliance and Investment Committee

The Audit/Compliance and Investment Committee met at this time.

Board Receipt of Committee Report

- MOVED by HARKIN, SECONDED by CARROLL, to approve the Audit/Compliance and Investment Committee (ACIC) recommendations for:
 - ▶ Receipt of the Investment and Cash Management Report for the Quarter Ended June 30, 2013;
 - ▶ Receipt of the Annual Review of Peer Group Comparisons;
 - ▶ Approval of the Asset Allocation Review and Recommendation; and
 - ▶ Receipt of the report of the Audit/Compliance and Investment Committee.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	X	X	X	X	X	X	X	X	X
Nay									

MOTION APPROVED by ROLL CALL.

University of Iowa Hospitals and Clinics Committee

The University of Iowa Hospitals and Clinics Committee met at this time.

Board Receipt of Committee Report

- MOVED by CARROLL, SECONDED by DOWNER, to approve the University of Iowa Hospitals and Clinics Committee (UIHCC) recommendations for:
 - ▶ Receipt of the report of Operational and Financial Performance;
 - ▶ Receipt of the Carver College of Medicine Update;
 - ▶ Receipt of the report on Diabetes Research and Care at the University of Iowa in the 21st Century and Beyond; and
 - ▶ Receipt of the report of the University of Iowa Hospitals and Clinics Committee.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Resolution for the Sale and Award of \$30,100,000 Academic Building Revenue Refunding Bonds, Series S.U.I. 2013A

Policy and Operations Officer Joan Racki introduced Barry Fick from Springsted, Incorporated, the Board's financial advisor.

Barry Fick reported that: (1) twelve bids were received; (2) the winning bid was submitted by Bank of America Merrill Lynch; and (3) the true interest cost was 4.1165%.

- MOVED by DAKOVICH, SECONDED by CARROLL, to approve A Resolution providing for the sale and authorizing and providing for the issuance and securing the payment of \$30,100,000 Academic Building Revenue Bonds, Series S.U.I. 2013A, for the purpose of paying a portion of the costs of building, repairing, replacing, reconstructing and equipping flood damaged buildings and facilities on the campus of The State University of Iowa, funding a reserve fund, funding capitalized interest and paying the costs of issuance.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Board President Report

President Rastetter:

- ▶ Reported that the Board would meet with the Legislative Fiscal Committee on September 12th to discuss the ongoing partnership for student affordability;
 - ▶ Recognized Sheila Doyle Koppin for a progress report on implementation of the Board's transparency measures; and
 - ▶ Announced that Governor Branstad declared September 22-28 Deaf Awareness Week.
- The Board received the Board President Report by GENERAL CONSENT.

CONSENT AGENDA

- ▶ Minutes of August 7-8, 2013, Board Meeting
 - ▶ Revisions to 2014 Board Meeting Schedule
 - ▶ Equipment Purchases
 - ▶ Revisions to the Board of Regents Policy Manual – Chapter 2
 - ▶ Appointments to Committees, Boards, and Commissions
 - ▶ Adoption of Amendments to Iowa Administrative Code 681 – Chapter 13
 - ▶ Revisions to the Board of Regents Policy Manual – Chapter 8
 - ▶ Title Change – University of Northern Iowa
 - ▶ Report of Crime Statistics at the Universities for the Quarter Ending June 30, 2013
- MOVED by WALSH, SECONDED by SAHAI, to approve the CONSENT AGENDA.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	x	x	x	x	x	x	x	x	x
Abstain									x*

MOTION APPROVED by ROLL CALL.

* *Regent Walsh clarified that she abstains from voting on her own appointments to the Iowa College Student Aid Commission and the ISD Foundation Board, and votes aye on all other appointments.*

Resolutions of the Board of Regents

- MOVED by DOWNER, SECONDED by CARROLL, to approve the following resolutions:

Kay Runge

WHEREAS, Kay Runge has served as both Chair and Vice Chair of the Board of Directors since the formation of Iowa Public Radio in 2005 through September 2013; and

WHEREAS, under her leadership on the Board, Iowa Public Radio continued to contribute to the quality of life of Iowans and foster the outreach missions of the three universities by:

- ▶ Initiating a strategic planning process involving staff, the Board of Directors, and community leadership;
- ▶ Expanding service throughout the state;
- ▶ Completing digital conversions on major transmitters throughout the state;
- ▶ Increasing audience engagement through the use of social media and expanding the website to allow for online listening;
- ▶ Completing realignment of the individual stations to manage redundancies in roles and responsibilities; and
- ▶ Launching the unified, statewide IPR classical network and the “Being in Iowa” series focusing on minority groups in Iowa.

NOW THEREFORE, BE IT RESOLVED that the Board of Regents, State of Iowa, expresses its deep gratitude to Ms. Runge for her vision, expertise, and leadership, and presents to her this plaque in honor of her contributions to Iowa Public Radio, the Board of Regents, and all Iowans.

Art Neu

WHEREAS, Art Neu has served as both Chair and Vice Chair of the Board of Directors since the formation of Iowa Public Radio in 2005 through September 2013; and

WHEREAS, under his leadership on the Board, Iowa Public Radio continued to contribute to the quality of life of Iowans and foster the outreach missions of the three universities by:

- ▶ Initiating a strategic planning process involving staff, the Board of Directors, and community leadership;
- ▶ Expanding service throughout the state;
- ▶ Completing digital conversions on major transmitters throughout the state;
- ▶ Increasing audience engagement through the use of social media and expanding the website to allow for online listening;
- ▶ Completing realignment of the individual stations to manage redundancies in roles and responsibilities; and
- ▶ Launching the unified, statewide IPR classical network and the “Being in Iowa” series focusing on minority groups in Iowa.

NOW THEREFORE, BE IT RESOLVED that the Board of Regents, State of Iowa, expresses its deep gratitude to Mr. Neu for his vision, expertise, and leadership, and presents to him this plaque in honor of his contributions to Iowa Public Radio, the Board of Regents, and all Iowans.

The Board approved the resolutions UNANIMOUSLY.

Reports from Institutional Heads

President Rastetter recognized Presidents Ruud, Leath, and Mason, and Superintendent Clancy for a report on recent activities at their respective institutions.

- The Board received the reports by GENERAL CONSENT.

FY 2015 Operating and Other Fund Appropriations Requests

Chief Business Officer Patrice Sayre provided an overview of the requests.

President Rastetter praised the increased outreach activity from the various campus-based groups to the Iowa General Assembly and the Governor and encouraged them to continue to tell their stories.

- MOVED by MCKIBBEN, SECONDED by DOWNER, to:
 - ▶ Based on proposed spending and funding plans, approve the identified operating appropriations requests for FY 2015 totaling \$652.7 million; and
 - ▶ Authorize actions by designated Regent staff to seek collaboration and partnerships between Regent institutions and other sectors of state government. The Regent legislative efforts are an integral part in successfully receiving requested funding.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Report of the Property and Facilities Committee

- MOVED by SAHAI, SECONDED by MULHOLLAND, to approve the Property and Facilities Committee (PFC) recommendations for:
 - ▶ Approval of the Five-Year Capital Plans, including the FY 2015 Capital Request as detailed in the PFC memorandum;
 - ▶ Approval of the Register of University of Iowa Capital Improvement Business Transactions as detailed in the PFC memorandum;
 - ▶ Receipt of the report of the University of Iowa Update of Flood Recovery Status; and
 - ▶ Approval of the Institutional Agreements, Leases and Easements as detailed in the PFC memorandum.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Report of the Education and Student Affairs Committee

- MOVED by MULHOLLAND, SECONDED by HARKIN, to approve the Education and Student Affairs Committee (ESAC) recommendations for:
 - ▶ Approval of the minutes of the Education and Student Affairs Committee meeting on August 7, 2013;
 - ▶ Approval of the 2013-2014 budget for the Iowa Lakeside Laboratory Regents Resource Center. The proposed budget will address the strategic plan functions – academic programs, community outreach, research, financial stability, organizational effectiveness, infrastructure development, partnership development, and financial and human resources. The budget includes a 1.8% decrease as a result of reduction in carry forward revenue;
 - ▶ Approval of the request by the University of Iowa for a program name change: from Bachelor of Science Program in Clinical Laboratory Science to Bachelor of Science Program in Medical Laboratory Science. The name change will align the existing program with the new name of the certifying agency. There is no longer a credential called Clinical Laboratory Scientist;
 - ▶ Receipt of the presentation on the Biennial Faculty Activities Report. The new format for the survey instrument allows the universities to gather more detailed information about the breadth and scope of faculty responsibilities;
 - ▶ Receipt of the 2012-2013 annual report of the Iowa Lakeside Laboratory Regents Resource Center. The Center focused on increasing the enrollment by providing additional academic programs. The number of community outreach programs has grown through its summer programming and lecture series. The Entrepreneurial Institute is in its 6th year and it has become a highly sought after experience for aspiring entrepreneurs; and
 - ▶ Receipt of the update of the 2013-2014 Education and Student Affairs Committee Work Plan.

<u>Regent</u>	<u>Carroll</u>	<u>Dakovich</u>	<u>Downer</u>	<u>Harkin</u>	<u>McKibben</u>	<u>Mulholland</u>	<u>Rastetter</u>	<u>Sahai</u>	<u>Walsh</u>
Aye	x	x	x	x	x	x	x	x	x
Nay									

MOTION APPROVED by ROLL CALL.

Other

President Pro Tem Mulholland reported that a ribbon-cutting ceremony will be held at the Southwest Iowa Regents Resource Center on November 5, 2013, on the campus of Iowa Western Community College in Council Bluffs. She said the ceremony is an opportunity to celebrate the partnership the Regents have developed with both Iowa Western Community College and Southwestern Community College to address the educational needs of western Iowa, especially for students who are place bound.

Resolution of the Board of Regents, State of Iowa

President Pro Tem Mulholland recognized Friends of Lakeside Lab, Inc. President Neal Conover and his wife, Kitty, and acknowledged the support that the Friends give to the Lab.

➤ MOVED by HARKIN, SECONDED by WALSH, to approve the following resolution:

WHEREAS, Friends of Lakeside Lab was founded in 1994 to support Iowa Lakeside Laboratory and the Regents Resource Center by providing scholarships and serving as a community resource for water quality monitoring, environmental education, and research. Friends, members, and supporters include, not only Lakeside Alumni from Iowa and all over the world, but local and summer residents, businesses, reflecting the diverse audiences and communities that the Lakeside benefits, not only locally, but regionally and worldwide; and

WHEREAS, the members and Board of Directors for the Friends are made up of dedicated and active individuals who have played a creative and visionary role in expanding Lakeside's education, water quality monitoring, and research programs and audiences; and

WHEREAS, since its incorporation, Friends has had many accomplishments, including:

- ▶ A successful million dollar capital campaign to build Lakeside's first year-round teaching and research facility, the Waitt building, completed under budget and on schedule in 1998;
- ▶ Expansion of Lakeside education programs to include Pre-K through 12 and lifelong learners, volunteer opportunities, and year-round programming, including nature camps and art projects;
- ▶ Support for Lakeside's water quality monitoring and research, including the involvement of community volunteers;
- ▶ Increased scholarship and research fellowships for students; and
- ▶ Establishment of an endowment to sustain Lakeside's water quality monitoring, education, and research programs;

The Friends of Lakeside Lab continue to fund a significant portion of the Education Director's position as well as 35 scholarships for students taking credit courses. The Friends also funded the Diatom course for secondary students, the new undergraduate honors scholarships, and scholarships for secondary students who took college-level work.

NOW THEREFORE, BE IT RESOLVED that the Board of Regents, State of Iowa, expresses its deep gratitude to the Friends of Lakeside Lab for its dedication and continued support to the Iowa Lakeside Laboratory Resource Center.

MOTION APPROVED UNANIMOUSLY.

Other

President Pro Tem Mulholland reported that the Iowa Association of the Deaf named Iowa School for the Deaf Superintendent Patrick Clancy the recipient of its Dale Van Hamert Merit Award, which recognizes the outstanding acts and associations by professionals that have improved the lives of deaf Iowans.

Presentation at the University of Northern Iowa: “Restructuring Field Experiences in Teacher Education and Expanding Professional Development School Partnerships”

Provost Gibson introduced College of Education Dean Dr. Dwight Watson. Dean Watson introduced UNI Coordinator of Field Experiences Becky Hawbaker. Ms. Hawbaker introduced (1) Waterloo Schools Executive Director of K-12 Curriculum and Instruction Debbie Lee; (2) Cedar Falls Lincoln Elementary School Principal Debra Beving, Teacher Jarith Witt, and UNI Field Experience Coordinator Dr. Curt Nielsen; and (3) UNI Teaching Majors Jessie Hutcheson, Shawna Becker, and Julia North.

The group talked about their field experiences and the collaboration amongst field experience coordinators, teachers, students, and local education agencies.

- The Board received the presentation by GENERAL CONSENT.

Faculty Presentation at the University of Northern Iowa: “Fulbright Scholars at the University of Northern Iowa”

Chief Academic Officer Dr. Diana Gonzalez introduced Provost Gibson.

Provost Gibson:

- ▶ Thanked the Office of International Programs for its work to support and encourage UNI faculty to apply for Fulbright Scholarships;
- ▶ Acknowledged: (1) Associate Provost for International Programs Craig Evan Klafter; and (2) International Students and Scholars Office Associate Director Dr. Nadia Korobova; and
- ▶ Acknowledged UNI's 2014 Fulbright Scholars: (1) Communications Studies Head and Professor Chris Martin; (2) International Students and Scholars Office Associate Director Dr. Nadia Korobova; and (3) Biology Department Graduate Programs Coordinator Dr. Mark Meyers.

Provost Gibson introduced: (1) Department of Communication Associate Professor of Visual Communication Bettina Fabos; (2) School of Health, Physical Education, and Leisure Studies Associate Professor of Physical Education Kevin Finn; and (3) Department of Languages and Literatures Associate Professor of Teaching English to Speakers of Other Languages Tammy Gregersen. The group discussed their Fulbright Scholarship experiences.

- The Board received the presentation by GENERAL CONSENT.

Adjourn

President Rastetter adjourned the meeting at 3:09 p.m.