

AUDIT/COMPLIANCE AND INVESTMENT COMMITTEE
BOARD OF REGENTS, STATE OF IOWA
MAUCKER UNION, OLD CENTRAL BALLROOM, ROOMS A AND B
UNIVERSITY OF NORTHERN IOWA
CEDAR FALLS, IOWA
SEPTEMBER 9, 2015
10:15 a.m.*

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| 1. Minutes of June 4, 2015, Committee Meeting | Decision |
| 2. FY 2015 State Audit Plan (Mosiman) | Discussion |
| 3. State Audit Reports (Mosiman) | Discussion |
| ▶ FY 2014 Reports of Recommendation | |
| ♦ University of Iowa | |
| ♦ Iowa State University | |
| ♦ University of Northern Iowa | |
| ♦ Iowa School for the Deaf | |
| ♦ Iowa Braille and Sight Saving School | |
| ♦ Board Office | |
| ▶ Report of Recommendations to the State University of Iowa on a Review of Selected General and Application Controls over the University's MAUI Student Financial Aid System (May 19, 2014 – July 31, 2014) | |
| ▶ Report on Special Investigation of the University of Iowa Health Care Department of Orthopaedics and Rehabilitation (July 1, 1999 – December 31, 2014) | |
| ▶ Report of Recommendations to Iowa State University of Science and Technology on a Review of Selected General and Application Controls over the Kuali Financial System (April 30, 2014 – May 28, 2014) | |
| ▶ Report of Recommendations to the University of Northern Iowa on a Review of Selected General and Application Controls over the University's Facility Administration and Maintenance Information System (April 29, 2014 – June 5, 2014) | |
| ▶ Report on Special Investigation of the University of Northern Iowa College of Education – Curriculum and Instruction Department (January 1, 2012 – October 31, 2014) | |
| 4. FY 2015 Audit Progress and FY 2016 Internal Audit Plans (Stewart) | Recommendation |
| 5. Internal Audit Reports Issued (Stewart) | Discussion |
| 6. Internal Audit Charter (Stewart) | Recommendation |
| 7. Investment and Cash Management Report for the Quarter Ended June 30, 2015 (Wilshire Consulting) | Discussion |
| 8. Annual Review of Peer Group Comparisons (Wilshire Consulting) | Discussion |
| 9. Fund Manager Issue (Wilshire Consulting) | Recommendation |

***Note: Time is approximate**