AUDIT/COMPLIANCE AND INVESTMENT COMMITTEE
BOARD OF REGENTS, STATE OF IOWA

MAUCKER UNION, OLD CENTRAL BALLROOM, ROOMS A AND B
UNIVERSITY OF NORTHERN IOWA
CEDAR FALLS, IOWA

APRIL 24, 2013
4:00 p.m.*

1. Minutes of March 13, 2013, Committee Meeting
   Decision

2. State Audit Reports (Vaudt)  
   Discussion
   - State of Iowa Reports – FY 2012
     - Comprehensive Annual Financial Report
     - Single Audit Report
   - University Financial Reports – FY 2012
     - University of Iowa
     - Iowa State University
     - University of Northern Iowa
   - Report of Recommendations to Iowa State University of Science and Technology on a Review of Selected General and Application Controls over the Room and Board System April 9, 2012 – May 1, 2012

3. Internal Audit Reports Issued (Stewart)  
   Discussion

4. External Audit Reports  
   Information
   a. Iowa Public Radio (Roger Nearmyer - Cremers, Holtzbauer & Nearmyer, P.C.)
   b. Graduate Study Centers (Sayre)
      - Quad-Cities Graduate Study Center
      - Tri-State Graduate Center

5. Enterprise Risk Management (Sayre, True, Madden, Hager)  
   Information

6. Modification of Brokerage Firms, Financial Institutions, and Money Market Mutual Funds (Chair Evans)  
   Recommendation

*Note: Time is approximate