

Contact: Todd Stewart

INTERNAL AUDIT REPORTS

Action Requested: Receive the original and follow-up internal audit reports.

Executive Summary: Completed institutional internal audit reports are reported to the Audit and Compliance Committee as required by Board policy.

ORIGINAL REPORTS	M/C*	CEA*	Status
UNIVERSITY OF IOWA			
• Human Subjects Office (HSO) Process Review			Open
• School of Library & Information Science (SLIS) IT Review			Open
• University of Iowa Hospitals and Clinics Access Control Security			Open
• NCAA Compliance – Student-Athlete Eligibility			Closed
IOWA STATE UNIVERSITY			
• Office of Admissions			Open
UNIVERSITY OF NORTHERN IOWA			
• No Submissions			

**Materiality/Criticality (MC) and Assessment of Controls Efficiencies (CEA) are defined in the Attachment.*

The Status of Internal Audit Follow-Up Table on the following page identifies 23 internal audit reports, 18 of which require follow-up.

FOLLOW-UP REPORTS

UNIVERSITY OF IOWA

- | | |
|--|--------|
| • Protected Health Information on Mobile Devices | Closed |
| • Parking and Transportation Services | Closed |
| • Childrens Miracle Network | Closed |
| • Security of Initiated ACH Transactions | Closed |
| • HCIS Hardware Change Management | Closed |

IOWA STATE UNIVERSITY

- No Submissions

UNIVERSITY OF NORTHERN IOWA

- No Submissions

University of Iowa

Title	Report Date	Original Follow-Up Status	Expected Completion	Action Status
1. Protected Health Information on Mobile Devices	Nov 15, 2006	Originally scheduled Feb 2007	Mar 2007	Closed
2. Parking and Transportation Services	Feb 20, 2007	Originally scheduled Jul 2007	Aug 2007	Closed
3. Childrens Miracle Network	May 10, 2007	Originally scheduled Oct 2007	Dec 2007	Closed
4. Security of Initiated ACH Transactions	Jun 14, 2007	Originally scheduled Jan 2008	Feb 2008	Closed
5. HCIS Hardware Change Management	Sept 17, 2007	Originally scheduled Feb 2008	Mar 2008	Closed
6. Iowa Memorial Union Food Service	Sept 13, 2006	Originally scheduled Feb 2007	Mar 2007	
7. Carver College of Medicine Research Data Security	Dec 14, 2006	Originally scheduled Apr 2007	May 2007	
8. Wireless IT Environment	Jun 26, 2006	Originally scheduled Jun 2007	Jul 2007	
9. Student Health Service	Feb 20, 2007	Originally scheduled Aug 2007	Sept 2007	
10. University of Iowa Physicians	Nov 8, 2007	Originally scheduled Feb 2008	Mar 2008	
11. Data Security Center	Oct 9, 2007	Originally scheduled Feb 2008	Mar 2008	
12. NCAA Compliance - Recruiting	Jun 14, 2007	Originally scheduled Feb 2008	Mar 2008	
13. Department of Chemistry	Jan 11, 2008	Originally scheduled Apr 2008	May 2008	
14. UIHC Transplant Billing Process	Jan 18, 2008	Originally scheduled Apr 2008	May 2008	
15. Family Medicine	Jan 11, 2008	Originally scheduled Jul 2008	Aug 2008	
16. University Hygienic Lab	Jun 14, 2007	Originally scheduled Aug 2008	Aug 2008	
17. Speech Pathology and Audiology	Sept 17, 2007	Originally scheduled Aug 2008	Sept 2008	
18. Department of Intercollegiate Athletics	Oct 9, 2007	Originally scheduled Sept 2008	Sept 2008	
19. Postseason Athletic Participation (Outback Bowl)	Sept 13, 2006	Schedule TBD	TBD	

Iowa State University

Title	Report Date	Original Follow-Up Status	Expected Completion	Action Status
20. Institutional Animal Care & Use Committee Compliance Review	Oct 12, 2007	Originally scheduled Apr 2008	May 2008	
21. Building Access Services-Access Cards	Jan 16, 2008	Originally scheduled May 2008	Jun 2008	

University of Northern Iowa

Title	Report Date	Original Follow-Up Status	Expected Completion	Action Status
22. Vendor Payment Analysis	Nov 14, 2007	Originally scheduled May 2008	Jun 2008	

Iowa School for the Deaf

Title	Report Date	Original Follow-Up Status	Expected Completion	Action Status
23. Iowa School for the Deaf	Aug 8, 2007	Originally scheduled Aug 2008	Aug 2008	