

Contact: Interim President Gary Fethke

INFORMATION SYSTEMS SECURITY ACCESS CONTROLS AUDIT

Action Requested: Receive the report.

Executive Summary: Interim President Fethke has requested the State Auditor to conduct an audit of information systems security access at the University of Iowa Hospitals and Clinics. He has requested that the State Auditor work jointly with the Board of Regents Director of Internal Audit to conduct the audit in a timely manner to address any potential control concerns that arise from the audit. The scope of the audit will be determined by the State Auditor and will likely focus on controls over access and the proper use of non-patient information by hospital employees.

This request is the result of a recent incident at the University of Iowa Hospitals and Clinics in which a University employee violated University policy on the acceptable use of information. The University is confident about the quality and security of its processes for controlling access to patient and financial records. However, the fact that this incident occurred in the administrative area of the hospital requires the University to review whether its processes can be improved to prevent future occurrences.